

ON THE MEASURE OF SELF-SIMILAR SETS

PABLO A. PANZONE

ABSTRACT. We exhibit a method by which we can approximate the Hausdorff measure of self-similar sets of a certain class.

0. INTRODUCTION. In 1. we show a procedure for approximating the measure of certain self-similar sets. In 2. we use these methods to show that if K is the Koch curve then

$0.26 \leq H^s(K) \leq 0.5989 < 2^{s-2}$, $s = \log 4 / \log 3$ (example 2). We also calculate the measure of some "regular" self-similar sets in \mathbb{R}^2 (see example 1, Th.5). This application contains as particular cases some well known results.

Despite the fact that we repeat arguments and use ideas borrowed from the works of Hutchinson [H] and Marion [M 1], on the whole the method shown seems to be new.

1. THE FUNCTION μ . The Hausdorff metric is defined on the collection of all non empty compact subsets of \mathbb{R}^n by

$$d_H(E, F) = \inf \{t: F \subset [E]_t \text{ and } E \subset [F]_t\}$$

where $[E]_t = \{x \in \mathbb{R}^n: \inf_{y \in E} \|x-y\| = d(x, E) \leq t\}$ and $\|\cdot\|$

($d(\cdot, \cdot)$) is the usual norm (distance). We shall write

$F_j \xrightarrow[H]{} K$ instead of $d_H(F_j, K) \xrightarrow{j \rightarrow \infty} 0$.

We state here the well-known selection theorem due to Blaschke:

Let F be an infinite collection of non empty compact sets all

lying in a bounded portion B of R^n . Then there exists a sequence $\{F_j\}$ of distinct sets of F convergent in the Hausdorff metric to a non-empty compact set K , (cf. [F], pg.37).

$|A|$ denotes the diameter of a set $A \subset R^n$ and $H^s(\cdot)$ its s -Hausdorff measure (cf. [F]).

A convex body is a compact convex set with non-empty interior.

The following is a corollary of Blaschke's theorem.

LEMMA 1. Let F_i be a sequence of compact convex non-empty sets of R^n such that

a) $\lim_{i \rightarrow \infty} |F_i| = \alpha > 0$

b) There exists a compact convex set F such that $F_i \subset F$ for all i

Then there exists a subsequence F_{i_j} such that

i) $F_{i_j} \xrightarrow{H} K$, K compact and convex

ii) $|K| = \alpha$

iii) $K \subset F$

Proof. By the mentioned Blaschke selection theorem we know that there is a subsequence F_{i_j} such that $F_{i_j} \xrightarrow{H} K$ where K is a non-empty compact set. Obviously $K \subset F$. As $F_{i_j} \xrightarrow{H} K$, we have $d_H(F_{i_j}, K) < \epsilon_j$ with $\epsilon_j \rightarrow 0$. But then $K \subset [F_{i_j}]_{\epsilon_j}$ for all j (notice that $[F_{i_j}]_{\epsilon_j}$ are compact convex sets) and

$$|[F_{i_j}]_{\epsilon_j}| \longrightarrow \alpha$$

Thus $|K| \leq \alpha$. Suppose that $|K| < \alpha$. Since $F_{i_j} \subset [K]_{\epsilon_j}$ we have $|F_{i_j}| \leq |[K]_{\epsilon_j}|$, and letting $j \rightarrow \infty$ we arrive at a contradiction. This proves ii) and iii).

We now prove that K is the convex set $\cap [F_{i_j}]_{\epsilon_j}$. Observe that

$[F_{i_j}]_{\varepsilon_j}$ tends to K in the Hausdorff metric because

$$d_H(K, [F_{i_j}]_{\varepsilon_j}) \leq d_H(K, F_{i_j}) + d_H(F_{i_j}, [F_{i_j}]_{\varepsilon_j})$$

Thus given $\varepsilon > 0$ there exists j_0 such that

$$[F_{i_j}]_{\varepsilon_j} \subset [K]_{\varepsilon} \quad \text{if } j \geq j_0$$

Then $\bigcap [F_{i_j}]_{\varepsilon_j} \subset K$. The inclusion $K \subset \bigcap [F_{i_j}]_{\varepsilon_j}$ was already established. This finishes the proof of the lemma. \blacksquare

Let K be a compact set in R^n such that $H^s(K) < \infty$ ($s > 0$). Define for $\delta > 0$:

$$\mu(\delta) := \sup \{H^s(K \cap C); C \text{ convex compact and } |C| = \delta\}$$

This function is a basic tool in our method.

THEOREM 1. $\mu(\delta)$ is continuous from the right and non-decreasing.

For any $\delta > 0$, $\mu(\delta) = H^s(K \cap C_\delta^0)$ where C_δ^0 is a particular compact convex set of diameter δ .

Moreover if for any compact convex set C we have

$$H^s(K \cap \partial C) = 0$$

then $\mu(\delta)$ is continuous.

Proof. From the definition of $\mu(\delta)$ we know that there exists a sequence C^i of compact convex sets of diameter δ , all lying in a bounded portion of R^n , such that

$$\mu(\delta) = \lim_{i \rightarrow \infty} H^s(K \cap C^i)$$

By lemma 1 there exists a compact convex set C_δ^0 of diameter δ and a subsequence C^{i_j} of C^i such that

$$C^{i_j} \xrightarrow{H} C_\delta^0$$

But $\mu(\delta) \geq H^s(K \cap C_\delta^0) = \lim_{k \rightarrow \infty} H^s(K \cap [C_\delta^0]_{1/2^k})$ and

$C^{ij} \subset [C_\delta^0]_{1/2^k}$ if i_j is large enough and k fixed.

Then $\mu(\delta) = H^S(K \cap C_\delta^0)$.

From this one easily gets that $\mu(\delta)$ is non-decreasing.

Let $\delta_0 > 0$ and $\delta_i > 0$; $i = 1, 2, 3, \dots$, $\delta_i \rightarrow \delta_0$. Then

$$\mu(\delta_j) = H^S(K \cap C_{\delta_j}^0) \quad \text{if } j = 0, 1, 2, 3, \dots$$

with $C_{\delta_j}^0$ a compact convex set of diameter δ_j lying in a bounded portion of R^n .

By lemma 1 there exists a subsequence of $C_{\delta_j}^0$, which we denote in the same way, such that $C_{\delta_j}^0 \xrightarrow{H} C^0$, where C^0 is a compact convex set of diameter δ_0 .

But $H^S(K \cap C^0) = \lim_{i \rightarrow \infty} H^S(K \cap [C^0]_{1/2^i})$ and $H^S(K \cap [C^0]_{1/2^i}) \geq H^S(K \cap C_{\delta_j}^0) = \mu(\delta_j)$ if i is fixed and $j \geq j(i)$. Thus

$$\overline{\lim}_{j \rightarrow \infty} \mu(\delta_j) \leq H^S(K \cap C^0) \leq \mu(\delta_0).$$

This proves that $\mu(\delta)$ is continuous from the right.

We show now that if for any compact convex set C

$$H^S(K \cap \partial C) = 0$$

then $\mu(\delta)$ must be continuous.

Recall $\mu(\delta_0) = H^S(K \cap C_{\delta_0}^0)$, $C_{\delta_0}^0$ a compact convex set of diameter δ_0 .

If $C_{\delta_0}^0$ is not a convex body then $C_{\delta_0}^0 = \partial C_{\delta_0}^0$ and by hypothesis

$$\mu(\delta) = 0 \quad \text{if } \delta \leq \delta_0$$

Therefore $\mu(\delta)$ is continuous from the left at δ_0 .

Assume $C_{\delta_0}^0$ is a convex body.

Let $]C_{\delta_0}^0[_\varepsilon = \{x: d(x, R^n \setminus C_{\delta_0}^0) > \varepsilon\}$. Thus from the hypothesis we get

$$\begin{aligned} \mu(\delta_0) &= H^s(K \cap C_{\delta_0}^o) = H^s(K \cap \partial C_{\delta_0}^o) + H^s(K \cap \text{int}(C_{\delta_0}^o)) = \\ &= H^s(K \cap \text{int}(C_{\delta_0}^o)) = \lim_{i \rightarrow \infty} H^s(K \cap]C_{\delta_0}^o[_{1/2^i}). \end{aligned}$$

This implies the continuity of $\mu(\delta)$ at δ_0 . ■

A mapping $Y: \mathbb{R}^n \rightarrow \mathbb{R}^n$ is called a contraction if $\|Y(x) - Y(y)\| \leq k \|x - y\|$ for all $x, y \in \mathbb{R}^n$, where $0 < k < 1$. Clearly a contraction is a continuous function. A contraction that transforms every subset of \mathbb{R}^n to a geometrically similar set is called a similitude. Thus a similitude is a composition of a dilatation, a rotation and a translation.

Let Y_i $i = 1, \dots, m$ be a set of similitudes with contraction ratios k_i . We know that there exists a unique non-void compact set K such that $K = \bigcup_{i=1}^m Y_i(K)$ (see [F]). We assume also the following (s is the Hausdorff dimension of K):

$$\text{I) } 0 < H^s(K) < \infty \quad (s > 0)$$

$$\text{II) } H^s(Y_i(K) \cap Y_j(K)) = 0 \quad \text{if } i \neq j$$

Such a K will be called a self-similar set.

Notice that if K is a self-similar set then the following equality holds:

$$\sum_{i=1}^m k_i^s = 1.$$

By $C(A)$ we denote the convex hull of a set A .

Let K be a self-similar set. It is clear that $Y_i(C(K)) \subset C(K)$ for all i . We rename the sets $Y_{i_1} \circ \dots \circ Y_{i_q}(C(K))$ in the following way: $C(K)$ is called T , $Y_i(C(K))$ is called T_i ,

$$Y_i \circ Y_j(C(K)) = Y_i(Y_j(C(K))) = T_{ij}, \text{ etc.}$$

Fix $r \geq 1$. Set $G_r := \{T_{i_1 \dots i_r}; i_j = 1, \dots, m\}$. G_r has m^r elements. Notice $Y_{i_1} \circ \dots \circ Y_{i_r} \circ Y_{i_{r+1}}(K) \subset T_{i_1 \dots i_r i_{r+1}} \subset T_{i_1 \dots i_r}$.

PROPERTY Z. Let K be self-similar. We say that K has property Z if there exists an index $i_1 \dots i_{r_0}$ such that

$$T_{i_1 \dots i_{r_0}} \subset \text{int } C(K).$$

THEOREM 1'. Let K be a self-similar set having the property Z. Then for any compact convex set C we have

$$H^s(K \cap \partial C) = 0$$

and $\mu(\delta)$ is continuous.

For the proof we need two auxiliary propositions:

PROPOSITION 1. Let C_1, C_2 be two compact convex sets such that $C_2 \subset [C_1]_\varepsilon$ for some $\varepsilon > 0$. If $p \in C_2$, $p \notin \text{int } C_1$ then $d(p, \partial C_2) \leq \varepsilon$.

Proof. Left to the reader.

PROPOSITION 2. If the hypotheses of the theorem 1' hold for K then $C(K)$ is a convex body and the following statement is true: there exist $\varepsilon_0 > 0$ and an integer number $r_1 (\geq r_0, r_0$ of property Z) such that for all convex compact sets C and all $t \leq \varepsilon_0$ the set

$$[\partial C]_t = \{p: d(p, \partial C) \leq t\}$$

does not intersect all elements of G_{r_1} .

Proof. Let r_1 be such that $r_1 \geq r_0$ and

$$(1) \quad \text{Max diameter of elements of } G_{r_1} = (\max k_i)^{r_1} \cdot |K| < \\ < d(\partial C(K), T_{i_1 \dots i_{r_0}}) / 2.$$

Let $\varepsilon_c = (\max k_i)^{r_1} \cdot |K| / 2$. Take all elements Γ of G_{r_1} such that $\Gamma \cap \partial C(K) \neq \{\emptyset\}$. Call this set G'_{r_1} . Observe that

$$(2) \quad C(\cup_{\Gamma \in G_{r_1}'} \Gamma) = C(K).$$

Let C be a compact convex set and assume that $[\partial C]_{\varepsilon_0}$ intersects all elements of G_{r_1} . For each set $\Gamma \in G_{r_1}'$ take a point $q_j \in \Gamma \cap [\partial C]_{\varepsilon_0}$. Thus $C(\cup_j q_j) \subset [C]_{\varepsilon_0}$ and $C(\cup_j q_j) \subset C(K)$. But by (1) and (2) $C(K) \subset [C(\cup_j q_j)]_{2\varepsilon_0}$. Using prop.1 we have that if $p \in C(K)$, $p \notin \text{int } C(\cup_j q_j)$ then

$$(3) \quad d(p, \partial C(K)) \leq 2\varepsilon_0.$$

Therefore $T_{i_1 \dots i_{r_0}} \subset \text{int } C(\cup_j q_j)$. By (1) and (3)

$$(4') \quad d(T_{i_1 \dots i_{r_0}}, \partial C(\cup_j q_j)) > 2\varepsilon_0.$$

For $p \in \partial C \cap C(\cup_j q_j)$ we have by proposition 1:

$$d(p, \partial C(\cup_j q_j)) \leq \varepsilon_0.$$

Since $d(p, T_{i_1 \dots i_{r_0}}) \geq d(q, T_{i_1 \dots i_{r_0}}) - d(p, q)$ holds for any q , taking $q \in \partial C(\cup_j q_j)$ we get $d(p, T_{i_1 \dots i_{r_0}}) > 2\varepsilon_0 - \varepsilon_0 = \varepsilon_0$.

This, together with (4') yields

$$d(\partial C, T_{i_1 \dots i_{r_0}}) \geq d(\partial C(\cup_j q_j), T_{i_1 \dots i_{r_0}}) - \varepsilon_0 > \varepsilon_0.$$

Thus one obtains $d(T_{i_1 \dots i_{r_0}}, \partial C) > \varepsilon_0$ and therefore $[\partial C]_{\varepsilon_0}$ cannot intersect $T_{i_1 \dots i_{r_0}}$.

The proof is completed if we notice that there are elements of G_{r_1} contained in $T_{i_1 \dots i_{r_0}}$. ■

Proof of Theorem 1'. Let C be a convex compact set and $t > 0$. We define

$$W(t, C) := H^S(K \cap [\partial C]_t).$$

Suppose $t < \varepsilon_0$. Then

$$(5) \quad W(t, C) \leq \Sigma' H^s(Y_{i_1}(\dots(Y_{i_{r_1}}(K))\dots) \cap [\partial C]_t)$$

where Σ' means the sum over all indexes $i_1 \dots i_{r_1}$ such that $T_{i_1 \dots i_{r_1}} \cap [\partial C]_t \neq \{\emptyset\}$.

But

$$(6) \quad \begin{aligned} & H^s(Y_{i_1}(\dots(Y_{i_{r_1}}(K))\dots) \cap [\partial C]_t) = \\ & = k_{i_1}^s \dots k_{i_{r_1}}^s \cdot H^s(K \cap [\partial C^{i_1 \dots i_{r_1}}]_{t/k_{i_1} \dots k_{i_{r_1}}}) \end{aligned}$$

where $C^{i_1 \dots i_{r_1}}$ is a convex compact set. More precisely $C^{i_1 \dots i_{r_1}} = Y_{i_1}^{-1}(\dots(Y_{i_{r_1}}^{-1}(C))\dots)$.

Using (5), (6), the identity $\Sigma k_{i_1}^s \dots k_{i_{r_1}}^s = 1$ and prop.2 we have

$$\begin{aligned} W(t, C) & \leq (\Sigma' k_{i_1}^s \dots k_{i_{r_1}}^s) \cdot H^s(K \cap [\partial C']_{t/(\min k_i)^{r_1}}) \leq \\ & \leq (1 - (\min k_i)^{r_1 s}) \cdot W(t/(\min k_i)^{r_1}, C') \end{aligned}$$

where C' is one of the convex sets $C^{i_1 \dots i_{r_1}}$.

Thus we have proved that there exists $\varepsilon_0 > 0$, an integer r_1 and a fixed α , $0 < \alpha < 1$, such that for any compact convex set C and any $t < \varepsilon_0$ there is a compact convex set C' such that

$$(7) \quad W(t, C) \leq \alpha \cdot W(t/(\min k_i)^{r_1}, C').$$

Using (7) and the fact that $W(t, C) \leq H^s(K) < \infty$ for any C and $t > 0$, we get

$$\lim_{t \rightarrow 0} W(t, C) = 0. \quad \blacksquare$$

COROLLARY 1. *The Lebesgue measure of the boundary of a compact convex set in R^n is equal to zero.*

To prove this well know fact take K as an hypercube and apply Theorem 1'.

REMARK 1. Let K be a self-similar set. Suppose that property Z does not hold, then it is easy to see that

$$(\text{int } C(K)) \cap K = \{\emptyset\} \quad \text{ie. } K \subset \partial C(K).$$

1.1. THE FUNCTIONS u , U , \tilde{U} .

Now we define functions u , U and \tilde{U} which approximate in some sense the function μ . For defining these functions we need other auxiliary functions.

Recall that G_r is the set of all possible $T_{i_1 \dots i_r}$ with $r (\geq 1)$ fixed.

Let $P(G_r)$ be the family of nonvoid subsets of G_r . Define

$J_r: P(G_r) \rightarrow R$ in the following way:

if $\{T_{i_1 \dots i_r}, \dots, T_{j_1 \dots j_r}\}$ is an element of $P(G_r)$ then

$$J_r(\{T_{i_1 \dots i_r}, \dots, T_{j_1 \dots j_r}\}) :=$$

$$:= (k_{i_1}^s \dots k_{i_r}^s) + \dots + (k_{j_1}^s \dots k_{j_r}^s).$$

It is not difficult to check that $J_r(P(G_r))$ is a finite set of points of R such that if $\alpha \in J_r(P(G_r))$ then $0 < \alpha \leq 1$, and $1 \in J_r(P(G_r))$. Also $J_r(P(G_r)) \subset J_{r+1}(P(G_{r+1}))$ for all $r \geq 1$. Besides, for each $\varepsilon > 0$ there exists $r_0 \geq 1$ such that for all $r \geq r_0$, if $x \in [0, 1]$ then there exists $\alpha \in J_r(P(G_r))$ such that $|x - \alpha| < \varepsilon$.

We shall define functions H_r, h_r on the set $J_r(P(G_r))$, ie.

$H_r, h_r: J_r(P(G_r)) \longrightarrow \mathbb{R}$.

Let $\alpha \in J_r(P(G_r))$, we define

$$G_r^\alpha := J_r^{-1}(\alpha)$$

and

$$\begin{aligned} H_r(\alpha) &:= \min_{\beta \in G_r^\alpha} (\max_{\Gamma, \Gamma' \in \beta} |\Gamma \cup \Gamma'|) = \\ &= \min_{\beta \in G_r^\alpha} (\text{diameter of } \beta) ; \end{aligned}$$

$$h_r(\alpha) := \min_{\beta \in G_r^\alpha} (\max_{\Gamma, \Gamma' \in \beta} d(\Gamma, \Gamma'))$$

where $d(\cdot, \cdot)$ is the distance between sets. Remember that Γ, Γ' are elements of the form $T_{i_1 \dots i_r}$.

From the definitions of H_r and h_r it is clear that $h_r(\alpha) \leq H_r(\alpha) \leq |K|$ and $H_r(1) = |K|$. It is not difficult to see that $H_r(\alpha) - h_r(\alpha) < \varepsilon$ for all $\alpha \in J_r(P(G_r))$ if r is big enough.

Also $H_{r+1}(\alpha) \leq H_r(\alpha)$.

Let $0 < \varepsilon_1 < \varepsilon_2$. We define functions U_r, \tilde{U}_r and u_r which approximate $\mu(\delta)$ on $[\varepsilon_1, \varepsilon_2]$.

Let

$$\begin{aligned} U_r(\delta) &:= \max \{ \alpha : h_r(\alpha) \leq \delta \} , \\ u_r(\delta) &:= \max \{ \alpha : H_r(\alpha) \leq \delta \} . \end{aligned}$$

Thus $U_r(\delta)$ is defined for $\delta \geq \min_{\alpha \in J_r(P(G_r))} h_r(\alpha)$ and $u_r(\delta)$ is defined

for $\delta \geq \min_{\alpha \in J_r(P(G_r))} H_r(\alpha)$. It is easy to see that there exist

r_0 and $\alpha \in J_{r_0}(P(G_{r_0}))$ such that $H_{r_0}(\alpha) < \varepsilon_1$. Thus U_r and u_r are defined on $[\varepsilon_1, \infty)$ if $r \geq r_0$.

Let $\tilde{h}_r(\alpha) := H_r(\alpha) - ((\max k_i)^r \cdot |K| \cdot 2)$ and

$\tilde{U}_r(\delta) := \max \{ \alpha : \tilde{h}_r(\alpha) \leq \delta \}$. Thus $\tilde{U}_r(\delta)$ is defined for $\delta \geq \min_{\alpha \in J_r(P(G_r))} H_r(\alpha) - ((\max k_i)^r \cdot |K| \cdot 2)$.

Moreover $u_r(\delta + ((\max k_i)^r \cdot |K| \cdot 2)) = \tilde{U}_r(\delta)$ and therefore \tilde{U}_r is defined on $[\varepsilon_1, \infty)$ if $r \geq r_0$.

All functions $u_r(\delta)$, $U_r(\delta)$ and $\tilde{U}_r(\delta)$ are jump functions with a finite number of jumps, continuous from the right non-decreasing and positive.

The following theorem shows how the above functions are related among them and with $\mu(\delta)$.

THEOREM 2. *Let K be a self-similar set and $u_r(\delta)$, $U_r(\delta)$, $\tilde{U}_r(\delta)$ as above. Then*

a) $u_r(\delta)/\delta^s \leq \mu(\delta)/(\delta^s \cdot H^s(K)) \leq U_r(\delta)/\delta^s \leq \tilde{U}_r(\delta)/\delta^s$ for $\delta \geq \min \{ H_r(\alpha) ; \alpha \in J_r(P(G_r)) \}$.

b) $|\tilde{U}_r(\delta) - u_r(\delta)| \rightarrow 0$ uniformly on $[\varepsilon_1, \varepsilon_2]$ as $r \rightarrow \infty$ if $\mu(\delta)$ is continuous on $(0, \infty)$.

c) $\lim_{r \rightarrow \infty} (\sup_{\delta \in [\varepsilon_1, \varepsilon_2]} u_r(\delta)/\delta^s) = \lim_{r \rightarrow \infty} (\sup_{\delta \in [\varepsilon_1, \varepsilon_2]} \tilde{U}_r(\delta)/\delta^s) =$
 $= (\sup_{\delta \in [\varepsilon_1, \varepsilon_2]} \mu(\delta)/\delta^s) / H^s(K)$ if $\mu(\delta)$ is continuous at ε_2 .

d) b) and c) hold if we replace \tilde{U}_r by U_r .

Proof. We show first that

$$u_r(\delta) \leq \mu(\delta)/H^s(K) \leq U_r(\delta) \quad \text{if } \delta \geq \min_{\alpha \in J_r(P(G_r))} H_r(\alpha).$$

From theorem 1 we know that $\mu(\delta) = H^s(C_\delta^0 \cap K)$ where C_δ^0 is a compact convex set of diameter δ . But C_δ^0 intersects l elements of G_r : $T_{i_1 \dots i_r}, \dots, T_{j_1 \dots j_r}$.

Then, because of the self-similarity of K :

$$\begin{aligned}\mu(\delta) &= H^S(C_\delta^0 \cap K) \leq [(k_{i_1} \dots k_{i_r})^S + \dots + (k_{j_1} \dots k_{j_r})^S] \cdot H^S(K) = \\ &= \alpha \cdot H^S(K).\end{aligned}$$

Also $h_r(\alpha) \leq |C_\delta^0| = \delta$. Then $\mu(\delta) \leq U_r(\delta) \cdot H^S(K)$.

To prove the remaining inequality let $u_r(\delta) = \alpha$. Then $H_r(\alpha) \leq \delta$ and there exist l elements of G_r , say $T_{i_1 \dots i_r}, \dots, T_{j_1 \dots j_r}$, such that

$$\begin{aligned}\text{i)} \quad J_r(\{T_{i_1 \dots i_r}, \dots, T_{j_1 \dots j_r}\}) &= \\ &= (k_{i_1} \dots k_{i_r})^S + \dots + (k_{j_1} \dots k_{j_r})^S = \alpha. \\ \text{ii)} \quad H_r(\alpha) &= |T_{i_1 \dots i_r} \cup \dots \cup T_{j_1 \dots j_r}|.\end{aligned}$$

Using $H^S(Y_i(K) \cap Y_j(K)) = 0$ if $i \neq j$ it follows that

$$u_r(\delta) \leq \mu(\delta) / H^S(K).$$

Now we prove that $U_r(\delta) \leq \tilde{U}_r(\delta)$ if $\delta \geq \min_{\alpha \in J_r(P(G_r))} H_r(\alpha)$.

For this we only have to prove that $\tilde{h}_r(\alpha) \leq h_r(\alpha)$ if $\alpha \in J_r(P(G_r))$. Fix α . From the definition of $h_r(\alpha)$ we then have l elements of G_r , say $T_{i_1 \dots i_r}, \dots, T_{j_1 \dots j_r}$, such that

$$\begin{aligned}\text{i)} \quad J_r(\{T_{i_1 \dots i_r}, \dots, T_{j_1 \dots j_r}\}) &= \alpha \\ \text{ii)} \quad h_r(\alpha) &= \max_{\Gamma, \Gamma' \in \{T_{i_1 \dots i_r}, \dots, T_{j_1 \dots j_r}\}} (d(\Gamma, \Gamma'))\end{aligned}$$

where $d(\dots)$ is the distance between sets.

But any element of $\{T_{i_1 \dots i_r}, \dots, T_{j_1 \dots j_r}\}$ has diameter less than or equal to $(\max k_i)^r \cdot |K|$. Thus

$$|T_{i_1 \dots i_r} \cup \dots \cup T_{j_1 \dots j_r}| \leq h_r(\alpha) + (\max k_i)^r \cdot |K|. 2$$

and therefore $H_r(\alpha) \leq h_r(\alpha) + (\max k_i)^r \cdot |K| \cdot 2$ ie. $\tilde{h}_r(\alpha) \leq h_r(\alpha)$.

This proves a).

To prove c) we need the following: if $\mu(\delta)$ is continuous at ε_2 , then $\lim_{r \rightarrow \infty} u_r(\varepsilon_2) = \mu(\varepsilon_2)/H^S(K)$. Suppose this is not true, then for some $\varepsilon > 0$ and a subsequence r_j

$$u_{r_j}(\varepsilon_2) < (\mu(\varepsilon_2)/H^S(K)) - \varepsilon.$$

But then

$$\begin{aligned} \mu(\varepsilon_2 - ((\max k_i)^{r_j} \cdot |K| \cdot 2)) / H^S(K) &\leq \tilde{U}_{r_j}(\varepsilon_2 - ((\max k_i)^{r_j} \cdot |K| \cdot 2)) = \\ &= u_{r_j}(\varepsilon_2) < \mu(\varepsilon_2) / H^S(K) - \varepsilon \end{aligned}$$

which is, for $j \rightarrow \infty$ an absurd.

Let $\varepsilon > 0$. Let r_1 be such that $\mu(\varepsilon_2 + ((\max k_i)^{r_1} \cdot |K| \cdot 2)) - \mu(\varepsilon_2) < \varepsilon \cdot H^S(K)$, $\mu(\varepsilon_2) / H^S(K) - u_r(\varepsilon_2) < \varepsilon$ if $r \geq r_1$ and $|1/x^S - 1/y^S| < \varepsilon$ if $|x-y| \leq ((\max k_i)^{r_1} \cdot |K| \cdot 2)$ and $x, y \in [\varepsilon_1, \infty)$.

Let $\tau = \sup_{\delta \in (0, \varepsilon_2]} \mu(\delta) / H^S(K)$.

Now we prove c). Due to the fact that \tilde{U}_r is non-decreasing and continuous from the right we have that $\sup_{\delta \in [\varepsilon_1, \varepsilon_2]} \tilde{U}_r(\delta) / \delta^S$ is

taken on a particular point δ_0 of $[\varepsilon_1, \varepsilon_2]$.

Thus if $r \geq r_1$ we have

$$\sup_{\delta \in [\varepsilon_1, \varepsilon_2]} \tilde{U}_r(\delta) / \delta^S = \tilde{U}_r(\delta_0) / \delta_0^S = u_r(\delta_0 + ((\max k_i)^r \cdot |K| \cdot 2)) / \delta_0^S.$$

There are two possibilities: $(\delta_0 + ((\max k_i)^r \cdot |K| \cdot 2)) = \delta'_0$ belongs to $[\varepsilon_1, \varepsilon_2]$ or not.

Suppose that it belongs. Then

$$u_r(\delta'_0) / \delta_0^S = u_r(\delta'_0) \cdot (1/\delta_0^S - 1/\delta'_0{}^S) + u_r(\delta'_0) / \delta'_0{}^S \leq \tau \cdot \varepsilon + \sup_{\delta \in [\varepsilon_1, \varepsilon_2]} u_r(\delta) / \delta^S.$$

If δ'_0 does not belong to $[\varepsilon_1, \varepsilon_2]$ then

$$u_r(\delta'_0)/\delta_0^s = ((u_r(\delta'_0) - u_r(\varepsilon_2))/\delta_0^s) + u_r(\varepsilon_2) \cdot (1/\delta_0^s - 1/(\varepsilon_2)^s) + \\ + u_r(\varepsilon_2)/(\varepsilon_2)^s \leq 2 \cdot \varepsilon/(\varepsilon_1)^s + \tau \cdot \varepsilon + \sup_{\delta \in [\varepsilon_1, \varepsilon_2]} u_r(\delta)/\delta^s.$$

Thus c) is proved.

We end the proof of theorem 2 proving that b) holds.

Suppose that $\tilde{U}_r(\delta) - u_r(\delta)$ does not tend to zero uniformly on $[\varepsilon_1, \varepsilon_2]$. Then we would have a sequence of points $\delta_j \in [\varepsilon_1, \varepsilon_2]$ and a sequence $r_j \rightarrow \infty$, such that

$$0 < \theta \leq \tilde{U}_{r_j}(\delta_j) - u_{r_j}(\delta_j) = u_{r_j}(\delta_j + q_j) - u_{r_j}(\delta_j)$$

where $q_j := (\max k_i)^{r_j} \cdot |K| \cdot 2$. Then

$$\mu(\delta_j + q_j)/H^s(K) - \mu(\delta_j - q_j)/H^s(K) = \mu(\delta_j + q_j)/H^s(K) \pm u_{r_j}(\delta_j + q_j) \pm \\ \pm \tilde{U}_{r_j}(\delta_j - q_j) - \mu(\delta_j - q_j)/H^s(K) \geq \theta \text{ for all } j \text{ and this contra-} \\ \text{dicts the uniform continuity of } \mu(\delta) \text{ on } [\varepsilon_1 - \varepsilon, \varepsilon_2 + \varepsilon]. \quad \blacksquare$$

1.2. THE FUNCTION f .

Set $f(\delta) := \mu(\delta)/\delta^s$.

THEOREM 3. *Let K be a self-similar set. Then*

$$f(\delta) \leq 1 \text{ for all } \delta \in (0, \infty).$$

Proof. Suppose the statement is false. Then there exists a compact convex set C_δ of diameter δ such that

$$H^s(K \cap C_\delta)/|K \cap C_\delta|^s \geq H^s(K \cap C_\delta)/|C_\delta|^s \geq \beta > 1.$$

From the self-similarity of K (property II above) we obtain

$$H^s(Y_i(K \cap C_\delta) \cap Y_j(K \cap C_\delta)) = 0 \quad \text{if } i \neq j.$$

$$\text{Also } H^s(Y_i(K \cap C_\delta)) = k_i^s \cdot H^s(K \cap C_\delta).$$

Thus for all i we have

$$H^s(Y_i(K \cap C_\delta)) / |Y_i(K \cap C_\delta)|^s = H^s(K \cap C_\delta) / |K \cap C_\delta|^s \geq \beta > 1.$$

By induction, for any $l = 1, 2, \dots$, we get:

$$\text{a) } H^s(\underbrace{Y_i \circ \dots \circ Y_j}_{=1}(K \cap C_\delta) \cap \underbrace{Y_{i'} \circ \dots \circ Y_{j'}}_{=1}(K \cap C_\delta)) = 0$$

if the 1-tuples $i \dots j$ and $i' \dots j'$ are different.

$$\text{b) } H^s(Y_i \circ \dots \circ Y_j(K \cap C_\delta)) / |Y_i \circ \dots \circ Y_j(K \cap C_\delta)|^s \geq \beta > 1$$

for all 1-tuples.

$$\text{Set } A_n := \bigcup_{\substack{\text{all the} \\ \text{1-tuples with } l \geq n}} \underbrace{Y_i \circ \dots \circ Y_j}_{=1}(K \cap C_\delta)$$

$$\text{Then } A_{n+1} \subset A_n \quad \text{and} \quad A_n \searrow A := \bigcap_n A_n.$$

$$\text{Set } B_n := \bigcup_{\substack{\text{all the} \\ \text{n-tuples}}} \underbrace{Y_i \circ \dots \circ Y_j}_{=n}(K \cap C_\delta).$$

Clearly $B_n \subset A_n$. Also from a) and b) we have

$$\begin{aligned} H^s(B_n) &= \sum_{\substack{\text{all the} \\ \text{n-tuples}}} H^s(Y_i \circ \dots \circ Y_j(K \cap C_\delta)) \geq \beta \cdot \sum_{\substack{\text{all the} \\ \text{n-tuples}}} |Y_i \circ \dots \circ Y_j(K \cap C_\delta)|^s = \\ &= \beta \cdot \sum_{\substack{\text{all the} \\ \text{n-tuples}}} k_i^s \cdot \dots \cdot k_j^s |K \cap C_\delta|^s = \beta \cdot |K \cap C_\delta|^s \end{aligned}$$

(the last inequality because $(\sum_{i=1}^m k_i^s)^n = \sum_{\substack{\text{all the} \\ \text{n-tuples}}} k_i^s \cdot \dots \cdot k_j^s = 1$).

$$\text{But } H^s(A_n) \leq H^s(K). \quad \text{Thus } \lim_{n \rightarrow \infty} H^s(A_n) = H^s(A) \geq \beta \cdot |K \cap C_\delta|^s > 0.$$

Clearly the sets $\underbrace{Y_i \circ \dots \circ Y_j}_{=1}(K \cap C_\delta)$ for all the 1-tuples

$l \geq n$, form a Vitali family V_n for A , ie. they are compact sets

and for any $\varepsilon > 0$ and any $x \in A$ there exists $Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta)$ of positive diameter $< \varepsilon$ such that $x \in Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta)$.

Let n_0 and $\varepsilon > 0$ be such that $H^s(A_{n_0}) + \varepsilon < \beta \cdot H^s(A)$. Then there exists a disjoint subfamily V'_{n_0} of V_{n_0} such that ([F], pg.11)

$$(8) \quad H^s(A) \leq \left(\sum_{Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta) \in V'_{n_0}} |Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta)|^s \right) + \varepsilon / \beta = W + \varepsilon / \beta$$

and either $W = \infty$ or $W < \infty$ and

$$H^s(A - \bigcup_{Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta) \in V'_{n_0}} Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta)) = 0.$$

But if $W = \infty$ by (8) and b) it follows

$$\begin{aligned} \beta \cdot H^s(A) &\leq \sum_{Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta) \in V'_{n_0}} \beta \cdot |Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta)|^s + \varepsilon \leq \\ &\leq \sum_{Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta) \in V'_{n_0}} H^s(Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta)) + \varepsilon \leq H^s(K) + \varepsilon \end{aligned}$$

and then $H^s(K) = \infty$.

Therefore $W < \infty$. Then, by (8) and b);

$$\begin{aligned} \beta \cdot H^s(A) &\leq \sum_{Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta) \in V'_{n_0}} H^s(Y_{i_1} \circ \dots \circ Y_{i_j}(K \cap C_\delta)) + \varepsilon \leq \\ &\leq H^s(A_{n_0}) + \varepsilon < \beta \cdot H^s(A). \quad \blacksquare \end{aligned}$$

PROPERTY A: Let K be a self-similar set. We say that property A holds for K if there exists $\Delta > 0$ such that for any $x \in K$ and any $B_{x,r}$ (ball centered at x and radius r) with $r \leq \Delta$ there exist $y \in K$ and a similitude Y with contraction ratio $k = 1$, $Y: R^n \rightarrow R^n$, such that

$$a) \quad Y(B_{y,r} \cap K) = B_{x,r} \cap K,$$

$$b) \quad (B_{y,r} \cap K) \subset Y_{i_0}(K) \quad \text{for some } i_0, \quad 1 \leq i_0 \leq m.$$

LEMMA 2. Let K be a self-similar set having property A. Then for any δ , $0 < \delta \leq \Delta$, there exists j , $j \in \{1, \dots, m\}$, such that $f(\delta) = f(\delta/k_j)$.

Proof. Suppose $0 < \delta \leq \Delta$. By theorem 1 we know that $\mu(\delta) = H^S(K \cap C_\delta)$, where C_δ is a convex compact set of diameter δ . By property A there exists C'_δ a convex compact set of diameter δ such that $H^S(K \cap C'_\delta) = H^S(K \cap C_\delta)$ and $(K \cap C'_\delta) - Y_{i_0}(K) = \{\emptyset\}$ with $1 \leq i_0 \leq m$.

Then $Y_{i_0}^{-1}(C'_\delta) = C_{\delta/k_{i_0}}$ is a compact convex set of diameter δ/k_{i_0} . It is easy to check that $H^S(K \cap C_{\delta/k_{i_0}}) = 1/k_{i_0}^S \cdot H^S(K \cap C'_\delta)$.

Clearly $\mu(\delta/k_{i_0}) \geq H^S(K \cap C_{\delta/k_{i_0}})$.

Also by theorem 1 $\mu(\delta/k_{i_0}) = H^S(K \cap C'_{\delta/k_{i_0}})$ where $C'_{\delta/k_{i_0}}$ is a convex compact set of diameter δ/k_{i_0} .

But $\mu(\delta/k_{i_0}) = H^S(K \cap C'_{\delta/k_{i_0}}) \leq 1/k_{i_0}^S \cdot H^S(K \cap Y_{i_0}(C'_{\delta/k_{i_0}})) \leq 1/k_{i_0}^S \cdot H^S(K \cap C'_\delta) = H^S(K \cap C_{\delta/k_{i_0}})$.

Then $\mu(\delta/k_{i_0}) = H^S(K \cap C_{\delta/k_{i_0}}) = 1/k_{i_0}^S \cdot H^S(K \cap C'_\delta) = \mu(\delta)/k_{i_0}^S$. ■

THEOREM 4. Let K be a self-similar set. Then

- i) $\overline{\lim}_{\delta \rightarrow 0} f(\delta) = 1$
- ii) Let also K have property A. Let $0 < \varepsilon_1 < \varepsilon_2$ be such that
 - a) $\varepsilon_1 \leq \Delta$ with Δ of property A.
 - b) $\varepsilon_1 \cdot (\max 1/k_i) \leq \varepsilon_2$

Then $f(\delta) = 1$ for some $\delta \in [\varepsilon_1, \varepsilon_2]$

Proof. We prove first that $\overline{\lim}_{\delta \rightarrow 0} f(\delta) = 1$ (cf. [F], T.2.3). Suppose it is false, then there exists a $\alpha > 0$ such that $f(\delta) \leq 1 - \alpha$ if $\delta \in (0, \alpha)$. From the definition of Hausdorff measure of K we have that for any $\varepsilon > 0$ there exists a countable family E_i of compact convex sets of diameter less than ε such that $H^s(K \cap E_i) \neq 0$ for all i , $\sum_i H^s(K \cap E_i) \geq H^s(K)$ and

$$(9) \quad H^s(K) + \varepsilon \geq \sum_i |E_i|^s$$

But if $\varepsilon < \alpha$, then

$$\begin{aligned} \sum_i |E_i|^s &= \sum_i H^s(K \cap E_i) \cdot |E_i|^s / H^s(K \cap E_i) \geq \\ &\geq \sum_i H^s(K \cap E_i) / f(|E_i|) \geq \sum_i H^s(K \cap E_i) / (1 - \alpha) \geq \\ &\geq H^s(K) / (1 - \alpha) \end{aligned}$$

which is in contradiction with (9) for ε small enough.

We prove now ii). Suppose K has property A. To prove ii) it is only necessary to show that $\sup_{\delta \in [\varepsilon_1, \varepsilon_2]} f(\delta) = 1$ since $\mu(\delta)$

is continuous from the right and non-decreasing. Now, from Lemma 2 it follows that if $0 < \delta < \varepsilon_1$ then there exists $\delta' \in [\varepsilon_1, \varepsilon_2]$ such that $f(\delta') = f(\delta)$. So $\overline{\lim}_{\delta \rightarrow 0} f(\delta) \leq$

$\leq \sup_{\delta \in [\varepsilon_1, \varepsilon_2]} f(\delta) \leq 1$ and because of i) the proof is complete. ■

1.3.

A combination of theorems 2, 3 and 4 gives us a procedure by which we can compute the measure of a self similar set K if property A holds and $T = C(K)$ is known.

The method is as follows: we observe first that the function $J_r: P(G_r) \rightarrow R$ defined above is a function whose values we can calculate. Thus H_r and h_r are functions which we can also

calculate because this involves taking the distance (or the diameter) between sets of the form $Y_{i_1}(\dots Y_{i_r}(C(K))\dots) = T_{i_1 \dots i_r}$ (recall $T = C(K)$ is known!).

Thus, the functions \tilde{U}_r , U_r and u_r are known.

But these functions are of the form

$$\sum_{i=1}^1 q_i \cdot S(x - \tau_i)$$

where $\tau_i \in (0, \infty)$, $q_i > 0$ (τ_i and q_i are known!) and

$$S(x) = \begin{cases} 1 & \text{if } x \geq 0 \\ 0 & \text{if } x < 0 \end{cases}$$

Let $\varepsilon_1, \varepsilon_2$ be as in theorem 4. Then $\sup \{U_r(\delta)/\delta^s : \varepsilon_1 \leq \delta \leq \varepsilon_2\} = \max \{U_r(\delta)/\delta^s : \delta = \varepsilon_1 \text{ or } \delta \in [\varepsilon_1, \varepsilon_2] \text{ and } U_r \text{ has a jump at } \delta\}$ and similar expressions hold for \tilde{U}_r and u_r .

Thus $B_r = \sup_{\delta \in [\varepsilon_1, \varepsilon_2]} U_r(\delta)/\delta^s$, $\tilde{B}_r = \sup_{\delta \in [\varepsilon_1, \varepsilon_2]} \tilde{U}_r(\delta)/\delta^s$ and

$\beta_r = \sup_{\delta \in [\varepsilon_1, \varepsilon_2]} u_r(\delta)/\delta^s$ are all numbers which we can calculate.

By theorems 2,3,4 we have $\beta_r \leq 1/H^s(K) \leq B_r \leq \tilde{B}_r$ and

$\beta_r \leq \beta_{r+1}$ (this because $H_r \geq H_{r+1}$). From theorem 2 we know

that $\tilde{B}_r - \beta_r \rightarrow 0$ if $r \rightarrow \infty$ i.e. $1/\tilde{B}_r \leq 1/B_r \leq H^s(K) \leq 1/\beta_r$

and $1/\beta_r - 1/\tilde{B}_r \rightarrow 0$ if $r \rightarrow \infty$.

In the next section we compute measures and "approximate measures" of some self-similar sets.

2. EXAMPLE 1

The sets K_n will be self-similar sets in R^2 for each $n \geq 3$ and they are defined as follows. Let P_n be a regular polygon of n

sides, $|P_n| = 1$. Thus, for example, P_3 is an equilateral triangle whose base has length 1, P_4 is a square of side equal to $1/\sqrt{2}$, P_5 is a pentagon, etc.

We define Y_i^n , $i = 1, \dots, n$, a similitude in the following way: for each vertex V_i^n , $1 \leq i \leq n$, of the regular polygon P_n , Y_i^n is a contraction of ratio $1/n$ and a translation (ie. there is no rotation) and $Y_i^n(V_i^n) = V_i^n$. K_n is defined to be the (unique) compact set such that
$$\bigcup_{i=1}^n Y_i^n(K_n) = K_n.$$

From the definitions of Y_i^n one easily gets the open set condition: the sets $Y_i^n(\text{int } C(P_n))$ are disjoint and

$$\bigcup_{i=1}^n Y_i^n(\text{int } C(P_n)) \subset \text{int } C(P_n)$$

(see beginning of proof of lemma 4).

Thus, by Hutchinson's theorem (see [F], pg.119) we get that

$$a) \quad 0 < H^{s_n}(K_n) < \infty$$

$$b) \quad H^{s_n}(Y_i^n(K_n) \cap Y_j^n(K_n)) = 0 \quad \text{if } i \neq j$$

where s_n is the Hausdorff dimension of K_n . Here $s_n = 1$ for all n .

Observing that V_i^n must belong to K_n it follows that $C(K_n) = C(P_n) = P_n$. Recall that $C(K_n) = T^n$, $Y_i^n(C(K_n)) = T_i^n$, etc.

Notice that property Z holds for K_n .

We will compute the measures of the sets K_n :

THEOREM 5. $H^1(K_n) = 1$ for all $n \geq 3$.

2.1.

Our proof of this theorem will need some lemmas.

To motivate the reading of these auxiliary propositions the reader may go directly to the proof of theorem 5 in next section. Figures 7 and 8 show how K_3 and K_5 look like. We denote with $\mu(\delta, n)$ the function $\mu(\delta)$ of K_n .

LEMMA 3. Let n, j be positive integers. Then

$$a) \quad \frac{1/n}{(1-1/n) \cdot \sin(\pi/n) - 1/n} \leq 1 \quad \text{if } n \geq 5$$

$$b) \quad \frac{2/n}{(1-1/n) \cdot \sin(\pi/n)} \leq 1 \quad \text{if } n \geq 5$$

$$c) \quad \frac{(j+1)/n}{\sin(j\pi/n) - 2/n} \leq 1 \quad \text{if } n \geq 7 \text{ and } 2 \leq j \leq [n/2]$$

$$d) \quad (1-1/n) \cdot \sin(\pi/n) < \sin(2\pi/n) - 2/n \quad \text{if } n \geq 6$$

$$e) \quad (1-1/n) \cdot \sin^2(\pi/n) \leq 2/n \quad \text{if } n \geq 6$$

$$f) \quad \sqrt{2/(1+\cos(\pi/n))} \cdot (1-1/n) \cdot \sin(\pi/n) \cdot \sin(\pi/2n) \leq \\ \leq 2/n \quad \text{if } n \geq 7$$

Proof. From Taylor's series of $\sin x$ we obtain

$$(1) \quad \sin x - x \geq -x^3/3! \quad \text{if } x \in [0, \pi/2].$$

In the following x denotes real values and n (or j) denote integer values.

a) Let $f(x) := (\pi-2) - \pi/x - \pi^3 \cdot (x-1)/(x^3 \cdot 3!)$. Then $f(x) \geq 0$ if $x \in [5, \infty)$ because $f(x)$ is non-decreasing if $x \in [5, \infty)$ and $f(5) > 0$. But using (1) we get for $n \geq 5$ that

$$1 \leq 1+f(n) \leq n \cdot [(1-1/n) \cdot \sin(\pi/n) - 1/n]$$

and a) follows.

b) Follows from a) immediately.

c) Let $g(n, j) = (n/j)^2 \cdot ((\pi-1) - 3/j)$. Then $g(n/j) \geq \pi^3/3!$ if $n \geq 8$ and $[(n \text{ is even and } 4 \leq j \leq n/2) \text{ or } (n \text{ is odd and } 4 \leq j \leq (n-1)/2)]$.

$4 \leq j \leq (n-1)/2]$ because $g(n,j) \geq 4 \cdot (\pi-7/4) \geq \pi^3/3!$ for the above values of n and j .

If $j = 2$ and $n \geq 7$ we get $g(n,2) \geq (7/2)^2 \cdot (\pi-5/2) \geq \pi^3/3!$. If

$j = 3$ and $n \geq 7$ we get $g(n,3) \geq (7/3)^2 \cdot (\pi-2) \geq \pi^3/3!$.

Thus

$$(2) \quad g(n,j) \geq \pi^3/3! \quad \text{if } n \geq 7 \quad \text{and} \quad 2 \leq j \leq [n/2]$$

Thus using (1) and (2) we get

$$0 \leq (g(n,j) - \pi^3/3!) \cdot (j/n)^3 \leq \sin(j\pi/n) - j/n - 3/n$$

and c) follows.

d) Let $h(x) := (\pi \cdot (\sqrt{3}-1) - 2) \cdot x^2 - \pi^3 \cdot (\sqrt{3}-1)/3!$. Then $h(6) > 0$ and therefore $h(x) > 0$ if $x \geq 6$. But using (1) we get if $n \geq 6$

$$\text{that} \quad 0 < h(n)/n^3 \leq (\sqrt{3}-1) \cdot \sin(\pi/n) - 2/n \leq$$

$$\leq (2 \cdot \cos(\pi/n) - 1 + 1/n) \cdot \sin(\pi/n) - 2/n$$

and d) follows.

e) and f) Let $f(x) := \sin^2(\pi x) - \sqrt{(1+\cos(\pi/7))/2} \cdot 2x$. It is not difficult to prove that $f(x) \leq 0$ if $x \in (0, \infty)$. Using this inequality e) and f) follow. ■

LEMMA 4. Let n be a positive integer. Then

$$a) \quad \mu((1-1/n) \cdot \sin(\pi/n), n) \leq H^1(K_n)/n \quad \text{if } n \geq 6 \quad \text{and } n \text{ is even}$$

$$b) \quad \mu(\sin(j\pi/n) - 2/n, n) \leq H^1(K_n) \cdot j/n \quad \text{if } n \geq 6, \quad n \text{ is even and} \\ 2 \leq j \leq n/2.$$

$$c) \quad \mu(2(1-1/n) \cdot \sin(\pi/2n), n) = \\ = \mu(\sqrt{2/(1+\cos(\pi/n))} \cdot (1-1/n) \cdot \sin(\pi/n), n) \leq H^1(K_n)/n \quad \text{if} \\ n \geq 5 \quad \text{and } n \text{ is odd.}$$

$$d) \quad \mu(\sqrt{2/(1+\cos(\pi/n))} \cdot \sin(j\pi/n) - 2/n, n) \leq H^1(K_n) \cdot j/n \quad \text{if} \\ n \geq 5, \quad n \text{ is odd and } 2 \leq j \leq (n-1)/2.$$

Proof. Let $n \geq 5$. Recall that $C(K_n) = C(P_n) = T^n$, $Y_i^n(C(K_n)) =$

$= T_i^n$, $Y_j^n(Y_i^n(C(K_n))) = T_{ji}^n$, etc. We call C_e^n the center of P_n i.e. $C_e^n = \sum_i V_i^n/n$. Thus it is easy to check that (recall $|P_n| = 1$)

$$d(V_i^n, C_e^n) = \begin{cases} 1/2 & \text{if } n \text{ is even,} \\ 1/2 \cos(\pi/2n) = 1/\sqrt{2 \cdot (1 + \cos(\pi/n))} & \text{if } n \text{ is odd.} \end{cases}$$

Since T_i^n contains V_i^n , $|T_i^n| = 1/n$ and

$$\begin{aligned} d(V_1^n, V_{j+1}^n) &= \begin{cases} \sin(j\pi/n) & \text{if } n \text{ is even, } 1 \leq j \leq n/2 \\ \sqrt{2/(1 + \cos(\pi/n))} \cdot \sin(j\pi/n) & \text{if } n \text{ is odd, } 1 \leq j \leq (n-1)/2 \end{cases} \\ = d(V_1^n, V_{n-j+1}^n) & \end{aligned}$$

we get

(3)

$$\begin{aligned} d(T_1^n, T_{j+1}^n) &= \begin{cases} \sin(j\pi/n) - (2/n) & \text{if } n \text{ is even, } 1 \leq j \leq n/2 \\ \sqrt{2/(1 + \cos(\pi/n))} \cdot \sin(j\pi/n) - (2/n) & \text{if } n \text{ is odd,} \\ & 1 \leq j \leq (n-1)/2 \end{cases} \\ = d(T_1^n, T_{n-j+1}^n) & \geq \end{aligned}$$

Set center $T_i^n = Y_i^n(C_e^n)$. Then

$$\begin{aligned} (4) \quad d(\text{center } T_1^n, \text{center } T_2^n) &= d(\text{center } T_1^n, \text{center } T_n^n) = \\ &= \begin{cases} (1-1/n) \cdot \sin(\pi/n) & \text{if } n \text{ is even,} \\ \sqrt{2/(1 + \cos(\pi/n))} \cdot (1-1/n) \cdot \sin(\pi/n) & \text{if } n \text{ is odd.} \end{cases} \end{aligned}$$

The above formulae imply:

(3')

$$\min_{i \neq j} d(T_i^n, T_j^n) \geq \begin{cases} (1-1/n) \cdot \sin(\pi/n) - 1/n & \text{if } n \text{ is even, } n \geq 6, \\ \sqrt{2/(1 + \cos(\pi/n))} \cdot [(1-1/n) \cdot \sin(\pi/n) - 1/n] & \text{if } n \text{ is odd,} \\ & n \geq 5. \end{cases}$$

Also using d) of lemma 3 we get, for $n \geq 6$,

$$\begin{aligned} (5) \quad (1-1/n) \cdot \sin(\pi/n) &< \sin(2\pi/n) - (2/n) < \\ &< \sin(3\pi/n) - (2/n) < \dots < \sin(\pi/2) - (2/n) \end{aligned}$$

And for n odd, $n \geq 5$,

$$\begin{aligned}
 (6) \quad & \sqrt{2/(1+\cos(\pi/n))} \cdot (1-1/n) \cdot \sin(\pi/n) < \\
 & < \sqrt{2/(1+\cos(\pi/n))} \cdot \sin(2\pi/n) - (2/n) < \\
 & < \sqrt{2/(1+\cos(\pi/n))} \cdot \sin(3\pi/n) - (2/n) < \dots < \\
 & < \sqrt{2/(1+\cos(\pi/n))} \cdot \sin((n-1)\pi/2n) - (2/n).
 \end{aligned}$$

The first inequality may be verified directly for $n=5$ and is a consequence of (5) for $n \geq 6$.

a) Let C be a compact convex set of diameter $(1-1/n) \cdot \sin(\pi/n)$. Suppose $T_1^n \cap C \neq \{\emptyset\}$. From (3) and (5) we have that $C \cap T_j^n = \{\emptyset\}$ if $j \neq 1, 2, n$. Thus from symmetry C can only intersect two elements of $\{T_1^n, T_2^n, T_n^n\}$. We assume C intersects T_1^n and T_2^n . Observe that $H^1(T_i^n \cap K_n) = H^1(K_n)/n$. By Theorem 1' we get that if L is any line in R^2 then

$$(7) \quad H^1(L \cap K_n) = 0.$$

Let L_1, L_2 be two parallel lines at a distance $(1-1/n) \cdot \sin(\pi/n)$, perpendicular to the segment joining the centers of T_1^n, T_2^n and such that $C \subset W$ where W is (see figure 1) the strip :

$W = C(L_1 \cup L_2)$. Recall that $d(\text{center } T_1^n, \text{center } T_2^n) = (1-1/n) \cdot \sin(\pi/n)$ and observe that the set $(K_n \cap T_1^n)$ is a translation of the set $(K_n \cap T_2^n)$. Then from symmetry and (7) we obtain: $H^1((K_n \cap (T_1^n \cup T_2^n)) - W) \geq H^1(K_n)/n$. Thus a) follows.

This last argument will be used quite often. Case c) is proved in an analogous way using (3), (4) and (6).

b) Let n and j be as in b). Let C be a compact convex set of diameter $\sin(j\pi/n) - (2/n)$. Assume $C \cap T_1^n \neq \{\emptyset\}$. Then from (3) and (7) we get

$$\begin{aligned}
 0 &= H^1(K_n \cap T_{j+1}^n \cap C) = H^1(K_n \cap T_{j+2}^n \cap C) = \dots = \\
 &= H^1(K_n \cap T_{n-j+1}^n \cap C).
 \end{aligned}$$

Thus we could assume that C intersects in a non-trivial way at most the sets: $T_{n-j+2}^n, T_{n-j+3}^n, \dots, T_n^n, T_1^n, T_2^n, \dots, T_{j-1}^n, T_j^n$. By symmetry and using this last argument repeatedly we obtain that C intersects in a non-trivial way at most j elements of $\{T_i\}$ and b) follows.

Case d) is proved in a similar way using (3) and (7). ■

LEMMA 5. *Let n and i be integers. Then*

- a) $\mu(1-(1/n^i), n) \leq (1-(3/n^i)) \cdot H^1(K_n)$ if $n \geq 6, i \geq 1$
- b) $\mu(1-(3/n^i), n) \leq (1-(1/n^{i-1})) \cdot H^1(K_n)$ if $n \geq 6, i \geq 2$
- c) $\mu(1-(1/5^i), 5) \leq (1-(2/5^i)) \cdot H^1(K_5)$ if $i \geq 1$
- d) $\mu(1-(2/5^i), 5) \leq (1-(1/5^{i-1})) \cdot H^1(K_5)$ if $i \geq 2$
- e) $\mu(1-(3/n), n) \leq H^1(K_n)/2$ if $n \geq 6$
- f) $\mu(1-(2/5), 5) \leq H^1(K_5) \cdot 2/5$

Proof. Let $n \geq 5$. It is clear that $H^1(K_n \cap T_{j_1 \dots j_i}^n) = H^1(K_n)/n^i$ and $|T_{j_1 \dots j_i}^n| = 1/n^i$. Call $n_o := [n/2]$. Then

$$V_1^n \in \underbrace{T_{1 \dots 1}^n}_i, V_{n_o+1}^n \in \underbrace{T_{n_o+1, \dots, n_o+1}^n}_i, d(V_1^n, V_{n_o+1}^n) = 1.$$

Let C be a compact convex set of diameter $1-(1/n^i)$. Assume $C \cap \underbrace{T_{1 \dots 1}^n}_i \neq \emptyset$. Let L_1, L_2 be two lines perpendicular to the line \underbrace{i} that joins V_1^n and $V_{n_o+1}^n$ and such that $d(L_1, L_2) = 1-(1/n^i)$ and $C \subset W$, where W is the strip between L_1 and L_2 .

Then since $K_n \cap \underbrace{T_{1 \dots 1}^n}_i$ is a translation of $K_n \cap \underbrace{T_{n_o+1, \dots, n_o+1}^n}_i$ we have, using (7), that

$$(8) \quad H^1(K_n \cap (\underbrace{T_{1 \dots 1}^n}_i \cup \underbrace{T_{n_o+1, \dots, n_o+1}^n}_i) \cap C) \leq H^1(K_n)/n^i$$

But a similar expression holds for pairs $(\underbrace{T_{j\dots j}^n}_i, \underbrace{T_{n_0+j, \dots, n_0+j}^j}_i)$

$j = 2, \dots, n_0$. If we assume $n \geq 6$ then there are at least 3 such pairs and a) is proved. If $n=5$ there are 2 such pairs and c) is proved.

e) Let $n \geq 6$. Observe that $d(T_j^n, T_{n_0+j}^n) \geq 1-(2/n) > 1-(3/n)$.

Thus if C is a convex compact set of diameter $1-(3/n)$ and $C \cap T_j^n \neq \{\emptyset\}$ then $C \cap T_{n_0+j}^n = \{\emptyset\}$ and e) follows easily.

f) It is easy to check that if C is a convex compact set of diameter $1-(2/5)$ and $C \cap T_1^5 \neq \{\emptyset\}$ then $H^1(C \cap (T_3^5 \cup T_4^5) \cap K_5) = 0$.

Using symmetry f) follows.

b) Let $i \geq 2$, $n \geq 5$ and let Q_i^n be the intersection (see fig.2) of the line L joining V_1^n and $V_{n_0+1}^n$ and the line L' perpendicular to L such that L' contains the point

$$\underbrace{Y_1^n(Y_1^n \dots Y_1^n(Y_n^n(V_1^n)) \dots)}_i \in \underbrace{T_{11\dots 1n}^n}_i.$$

It is easy to check that

$$d(V_1^n, Q_i^n) = \begin{cases} n^{1-i} \cdot (1-1/n) \cdot \sin^2(\pi/n) & \text{if } n \text{ is even} \\ \sqrt{2/(1+\cos(\pi/n))} \cdot n^{1-i} \cdot (1-1/n) \cdot \sin(\pi/n) \cdot \sin(\pi/2n) = \\ = 2 \cdot n^{1-i} \cdot (1-1/n) \cdot \sin^2(\pi/2n) & \text{if } n \text{ is odd} \end{cases}$$

Let, for $n \geq 6$, C be a compact convex set of diameter $1-(3/n^i)$.

Assume $C \cap \underbrace{T_{n_0+1, \dots, n_0+1}^n}_i \neq \{\emptyset\}$. Then, from the fact that

$$d(\underbrace{T_{1\dots 1}^n}_i, \underbrace{T_{n_0+1, \dots, n_0+1}^n}_i) = 1-(2/n^i) > 1-(3/n^i)$$

we get $C \cap \underbrace{T_{1\dots 1}^n}_i = \{\emptyset\}$. Also by e) (or f) if n is odd) of

lemma 3 $d(V_1^n, Q_i^n) \leq 2/n^i$.

Since $\underbrace{T_{11\dots 1n}^n}_i$ and $\underbrace{T_{n_0+1,\dots,n_0+1}^n}_i$ are translations

one of the other, we can use an argument similar to the one used in a) and get

$$H^1(K_n \cap (\underbrace{T_{11\dots 1n}^n}_i \cup \underbrace{T_{n_0+1,\dots,n_0+1}^n}_i) \cap C) \leq H^1(K_n)/n^i$$

which combined with the fact that $C \cap \underbrace{T_{1\dots 1}^n}_i = \{\emptyset\}$ gives

$$(9) \quad H^1(K_n \cap (\underbrace{T_{1\dots 1}^n}_i \cup \underbrace{T_{1\dots 1n}^n}_i \cup \underbrace{T_{n_0+1,\dots,n_0+1}^n}_i) \cap C) \leq H^1(K_n)/n^i$$

Note that if C does not intersect $\underbrace{T_{1\dots 1}^n}_i$ nor $\underbrace{T_{n_0+1,\dots,n_0+1}^n}_i$

then (9) holds. b) follows from (9) and the fact that the same argument can be repeated for all the triples

$$(\underbrace{T_{j\dots j}^n}_i, \underbrace{T_{j\dots j(j-1)}^n}_i, \underbrace{T_{n_0+j,\dots,n_0+j}^n}_i), 2 \leq j \leq n_0 \quad (\text{for } n \text{ odd ob-})$$

serve that C can only intersect n_0 elements of the form

$$\{\underbrace{T_{j\dots j}^n}_i \mid j = 1, \dots, n\}.$$

Case d) ($n=5$) is proved in a similar way using

$$d(V_1^5, Q_i^5) \leq 2/5^i. \quad \blacksquare$$

LEMMA 6. Let i be an integer. Then

$$a) \quad \mu(1-(2/3^{i+1}), 3) \leq (1-(1/3^i)) \cdot H^1(K_3) \quad \text{if } i \geq 1$$

$$b) \quad \mu(1-(1/3^i), 3) \leq (1-(5/3^{i+1})) \cdot H^1(K_3) \quad \text{if } i \geq 1$$

$$c) \quad \mu(1-(5/3^{i+1}), 3) \leq (1-(2/3^i)) \cdot H^1(K_3) \quad \text{if } i \geq 1$$

Proof. Recall that $T_{j_1\dots j_i}^3$ is an equilateral triangle of base equal to $1/3^i$.

a) Let $i \geq 1$ and let C be a convex compact set of diameter

$1-(2/3^{i+1})$. Then if $C \cap (\underbrace{T_{1\dots 1}^3}_{i+1} \cup \underbrace{T_{2\dots 2}^3}_{i+1} \cup \underbrace{T_{3\dots 3}^3}_{i+1}) = \{\emptyset\}$ we have

$$H^1(K_3 \cap C) \leq (1-(1/3^i)) \cdot H^1(K_3)$$

Therefore we may assume $C \cap \underbrace{T_{1\dots 1}^3}_{i+1} \neq \{\emptyset\}$.

Since $d(\underbrace{T_{1\dots 1}^3}_{i+1}, \underbrace{T_{2\dots 2}^3}_{i+1}) = d(\underbrace{T_{1\dots 1}^3}_{i+1}, \underbrace{T_{3\dots 3}^3}_{i+1}) = 1-(2/3^{i+1})$

(see fig.3) we have

$$H^1(K_3 \cap (\underbrace{T_{2\dots 2}^3}_{i+1} \cup \underbrace{T_{3\dots 3}^3}_{i+1}) \cap C) = 0$$

It is not difficult to check that the segment $[P_{i+1}, Q_{i+1}]$ is perpendicular to $[V_1^3, V_2^3]$. Thus $d(P_{i+1}, V_1^3) > 1-(2/3^{i+1})$ and by an argument similar to that given in lemma 5 a) we have that

$$H^1(K_3 \cap (\underbrace{T_{2\dots 23}^3}_{i+1} \cup \underbrace{T_{11\dots 1}^3}_{i+1}) \cap C) \leq H^1(K_3)/3^{i+1}$$

and a) follows.

b) Let $i \geq 1$ and C be a convex compact set of diameter

$1-(1/3^i)$. Then if $C \cap (\underbrace{T_{1\dots 1}^3}_i \cup \underbrace{T_{2\dots 2}^3}_i \cup \underbrace{T_{3\dots 3}^3}_i) = \{\emptyset\}$ we have

$$H^1(K_3 \cap C) \leq (1-1/3^{i-1}) \cdot H^1(K_3)$$

Let us suppose that $C \cap \underbrace{T_{1\dots 1}^3}_i \neq \{\emptyset\}$. We have,

by symmetry, only three subcases:

b1) $C \cap \underbrace{T_{1\dots 1}^3}_{i+1} \neq \{\emptyset\}$

b2) $C \cap \underbrace{T_{j\dots j}^3}_{i+1} = \{\emptyset\}$, $j = 1, 2, 3$; $C \cap \underbrace{T_{1\dots 12}^3}_{i+1} = \{\emptyset\}$,

$$C \cap T_{\underbrace{1\dots 13}_{i+1}}^3 \neq \{\emptyset\}$$

$$b3) \quad C \cap T_{\underbrace{j\dots j}_{i+1}}^3 = \{\emptyset\}, \quad j = 1, 2, 3; \quad C \cap T_{\underbrace{1\dots 12}_{i+1}}^3 \neq \{\emptyset\},$$

$$C \cap T_{\underbrace{1\dots 13}_{i+1}}^3 \neq \{\emptyset\}.$$

b1) It is easy to see that (see fig.3)

$$d(T_{\underbrace{1\dots 1}_{i+1}}^3, T_{\underbrace{2\dots 2}_{i+1}}^3) \text{ and } d(T_{\underbrace{1\dots 1}_{i+1}}^3, T_{\underbrace{2\dots 23}_{i+1}}^3) \geq d(T_{i+1}, Q_{i+1}) = 1 - (1/3^i)$$

Thus $H^1(K_3 \cap (T_{\underbrace{2\dots 2}_{i+1}}^3 \cup T_{\underbrace{2\dots 23}_{i+1}}^3) \cap C) = 0$ and by symmetry

$$H^1(K_3 \cap (T_{\underbrace{3\dots 3}_{i+1}}^3 \cup T_{\underbrace{3\dots 32}_{i+1}}^3) \cap C) = 0. \text{ Also as } d(V_1^3, R^{i+1}) =$$

$$= 1 - (1/3^i) \text{ we have that } H^1(K_3 \cap (T_{\underbrace{1\dots 1}_{i+1}}^3 \cup T_{\underbrace{2\dots 21}_{i+1}}^3) \cap C) \leq \\ \leq H^1(K_3)/3^{i+1}.$$

b2) Since $d(S_{i+1}, P_{i+1}) = 1 - (1/3^i)$, it follows that

$$(10) \quad H^1(K_3 \cap (T_{\underbrace{1\dots 13}_{i+1}}^3 \cup T_{\underbrace{2\dots 23}_{i+1}}^3) \cap C) \leq H^1(K_3)/3^{i+1}$$

b3) From b2) one gets (10) again and by symmetry

$$H^1(K_3 \cap (T_{\underbrace{1\dots 12}_{i+1}}^3 \cup T_{\underbrace{3\dots 32}_{i+1}}^3) \cap C) \leq H^1(K_3)/3^{i+1}$$

c) Let $i \geq 1$ and let C be a compact convex set of diameter $1 - (5/3^{i+1})$. We assume $C \cap T_{\underbrace{1\dots 1}_i}^3 \neq \{\emptyset\}$ (if

$$C \cap (T_{\underbrace{1\dots 1}_i}^3 \cup T_{\underbrace{2\dots 2}_i}^3 \cup T_{\underbrace{3\dots 3}_i}^3) = \{\emptyset\} \quad \text{then} \quad H^1(K_3 \cap C) \leq \\ \leq (1 - (1/3^{i-1})) \cdot H^1(K_3).$$

Then, by symmetry, only two choices are possible:

c1) $C \cap T_{1 \dots 1}^3 \neq \{\emptyset\}$. Consequently, $C \cap (T_{2 \dots 2}^3 \cup T_{3 \dots 3}^3) = \{\emptyset\}$.

c2) $C \cap T_{j \dots j}^3 = \{\emptyset\}$, $j = 1, 2, 3$; $C \cap T_{1 \dots 12}^3 \neq \{\emptyset\}$. Then,

$$H^1(K_3 \cap (T_{3 \dots 3}^3 \cup T_{2 \dots 2}^3 \cup T_{2 \dots 23}^3 \cup T_{1 \dots 1}^3) \cap C) = 0 \quad \blacksquare$$

2.2 PROOF OF THEOREM 5

Recall that property Z holds for K_n $n \geq 3$. Thus $\mu(\delta, n)$ is continuous on $(0, \infty)$. Let $f(\delta, n) = \mu(\delta, n)/\delta$. Then, if $1 < \delta$, $f(\delta, n) = H^1(K_n)/\delta < H^1(K_n) = f(1, n) \leq 1$ (th.3). Therefore to prove the theorem we must show $H^1(K_n) \geq 1$. Observe that any number $0 < \Delta_n < \min_{i \neq j} d(T_i, T_j)$ could be used as Δ in property A.

Therefore from theorem 3 and 4 we get

$$i') \quad f(\delta, n) \leq 1 \quad \text{on} \quad [\Delta_n, 1]$$

$$ii') \quad f(\delta_0, n) = 1 \quad \text{on} \quad \text{for some } \delta_0 \in [\Delta_n, 1]$$

From the continuity of $\mu(\delta, n)$ one gets i') and ii') for

$$\Delta_n = \min_{i \neq j} d(T_i^n, T_j^n) \quad \text{ie.}$$

$$i) \quad f(\delta, n) \leq 1 \quad \text{on} \quad [\min_{i \neq j} d(T_i^n, T_j^n), 1]$$

$$ii) \quad f(\delta_0, n) = 1 \quad \text{for some } \delta_0 \in [\min_{i \neq j} d(T_i^n, T_j^n), 1]$$

We recall formulae (3') of lemma 4

(3')

$$\min_{i \neq j} d(T_i^n, T_j^n) \geq \begin{cases} (1-1/n) \cdot \sin(\pi/n) - 1/n & \text{if } n \text{ is even, } n \geq 6 \\ \sqrt{2/(1+\cos(\pi/n))} \cdot [(1-1/n) \cdot \sin(\pi/n) - 1/n] & \text{if } n \text{ is odd, } n \geq 5 \end{cases}$$

and $\min_{i \neq j} d(T_i^3, T_j^3) = 1/3$. Let n be even, $n \geq 8$. Define the functions $g(\delta, n)$ and $h(\delta, n)$ as follows:

$$g(\delta, n) = \begin{cases} 1/n & \text{if } \delta \in [(1-1/n) \cdot \sin(\pi/n) - (1/n), (1-1/n) \cdot \sin(\pi/n)] \\ 2/n & \text{if } \delta \in [(1-1/n) \cdot \sin(\pi/n), \sin(2\pi/n) - (2/n)] \\ (j+1)/n & \text{if } \delta \in [\sin(j\pi/n) - (2/n), \sin((j+1)\pi/n) - (2/n)] \\ & \text{and } 2 \leq j \leq (n/2) - 1 \end{cases}$$

$$(11) \quad h(\delta, n) = \begin{cases} 1-1/n^i & \text{if } \delta \in [1-1/n^i, 1-3/n^{i+1}] , \quad i = 1, 2, \dots \\ 1-3/n^{i+1} & \text{if } \delta \in [1-3/n^{i+1}, 1-1/n^{i+1}] , \quad i = 0, 1, 2, \dots \\ 1/2 & \text{if } \delta \in [1/2, 1-3/n] \end{cases}$$

Then $h(\delta, n)$ is defined on $[1/2, 1)$ and $g(\delta, n)$ on $[(1-1/n) \cdot \sin(\pi/n) - (1/n), 1-2/n)$. Also $h(\delta, n)/\delta \leq 1$ and by lemma 3 a, b, c) we get $g(\delta, n)/\delta \leq 1$. By lemmas 5 a, b, e), 4 a, b) and from the fact that $\mu(\delta, n)$ is non decreasing we get

$$(12) \quad f(\delta, n)/H^1(K_n) \leq h(\delta, n)/\delta \leq 1 \quad \text{if } \delta \in [1/2, 1)$$

and

$$f(\delta, n)/H^1(K_n) \leq g(\delta, n)/\delta \leq 1 \quad \text{if} \\ \delta \in [(1-1/n) \cdot \sin(\pi/n) - (1/n), 1-2/n)$$

and using the continuity of $\mu(\delta, n)$

$$(13) \quad f(\delta, n)/H^1(K_n) \leq 1 \quad \text{if } \delta \in [\min_{i \neq j} d(T_i^n, T_j^n), 1]$$

Using property ii) above we get $H^1(K_n) \geq 1$.

Thus $H^1(K_n) = 1$ if n is even, $n \geq 8$.

The proof of the other cases are similar.

Let n be odd, $n \geq 7$. Define $h(\delta, n)$ as in (11) and

$$g(\delta, n) = \begin{cases} 1/n & \text{if } \delta \in [\sqrt{2/(1+\cos(\pi/n))} \cdot ((1-1/n) \cdot \sin(\pi/n) - (1/n)), \\ & \sqrt{2/(1+\cos(\pi/n))} \cdot (1-1/n) \cdot \sin(\pi/n)] \\ 2/n & \text{if } \delta \in [\sqrt{2/(1+\cos(\pi/n))} \cdot (1-1/n) \cdot \sin(\pi/n), \\ & \sqrt{2/(1+\cos(\pi/n))} \cdot \sin(2\pi/n) - (2/n)] \\ (j+1)/n & \text{if } \delta \in [\sqrt{2/(1+\cos(\pi/n))} \cdot \sin(j\pi/n) - (2/n), \\ & \sqrt{2/(1+\cos(\pi/n))} \cdot \sin((j+1)\pi/n) - (2/n)] \\ & 2 \leq j \leq (n-1)/2 - 1 \end{cases}$$

$g(\delta, n)$ is defined on $[\sqrt{2/(1+\cos(\pi/n))} \cdot ((1-1/n) \cdot \sin(\pi/n) - (1/n)), \sqrt{2/(1+\cos(\pi/n))} \cdot \sin((n-1)\pi/2n) - (2/n)]$.

Using lemma 3 a,b,c,d) we get $g(\delta, n)/\delta \leq 1$. By lemma 4 c,d) it follows that $f(\delta, n)/H^1(K_n) \leq g(\delta, n)/\delta \leq 1$. As we have seen, lemma 5 a,b,e) implies (12). Thus (13) holds and the proof ends as in the previous case.

For $n=6$, $h(\delta, 6)$ is defined as in (11) and

$$g(\delta, 6) = \begin{cases} 1/6 & \text{if } \delta \in [(1-1/6) \cdot \sin(\pi/6) - 1/6, (1-1/6) \cdot \sin(\pi/6)] \\ 1/3 & \text{if } \delta \in [(1-1/6) \cdot \sin(\pi/6), \sin(\pi/3) - 1/3] \end{cases}$$

and the proof runs in a similar way using lemma 3 a), b), lemma 5 a), b), e), and lemma 4 a), b).

For $n=5$ let

$$h(\delta, 5) = \begin{cases} 1-2/5^i & \text{if } \delta \in [1-2/5^i, 1-1/5^i) & i = 1, 2, \dots \\ 1-1/5^{i-1} & \text{if } \delta \in [1-1/5^{i-1}, 1-2/5^i) & i = 2, 3, \dots \\ 2/5 & \text{if } \delta \in [2/5, 1-2/5) \end{cases}$$

$g(\delta, 5) = 1/5$, if $\delta \in [\sqrt{2/(1+\cos(\pi/5))} \cdot [(1-1/5) \cdot \sin(\pi/5) - 1/5], \sqrt{2/(1+\cos(\pi/5))} \cdot (1-1/5) \cdot \sin(\pi/5)]$ and use lemmas 5 c,d,f), 4c), 3a).

For $n=3$ we define only one function $g(\delta, 3)$ in the following way

$$g(\delta, 3) = \begin{cases} 1-2/3^i & \text{if } \delta \in [1-2/3^i, 1-5/3^{i+1}) & i \geq 1 \\ 1-5/3^{i+1} & \text{if } \delta \in [1-5/3^{i+1}, 1-1/3^i) & i \geq 1 \\ 1-1/3^i & \text{if } \delta \in [1-1/3^i, 1-2/3^{i+1}) & i \geq 1 \end{cases}$$

Thus $g(\delta, 3)$ is defined on $[1/3, 1)$ and this case follows from lemma 6.

Case $n=3$ is considered in [Mn]. Case $n=4$ may be found in [F] and [Mn]. The proofs given there are different.

2.3. EXAMPLE 2.

The unique compact set K such that

$$K = \bigcup_{i=1}^4 Y_i(K)$$

where Y_i are similitudes of the complex plane defined by

$$Y_1(z) = z/3; Y_2(z) = z.(1/2+i\sqrt{3}/2)/3+1/3; Y_3(z) = z.(1/2-i\sqrt{3}/2)/3+(1/2+i/2\sqrt{3}); Y_4(z) = z/3+2/3, \text{ is the well known Koch curve.}$$

It is not difficult to see that $C(K) = C(\{0,1,1/2+i/2\sqrt{3}\})$ and therefore using $\text{int } C(K)$ one can prove that an "open set condition" holds for K . Therefore K is self similar (see [F]).

Moreover $s = \log 4/\log 3$.

Alternatively K can be defined with only two similitudes ie.

$$K = \bigcup_{i=1}^2 Y'_i(K)$$

$$\text{where } Y'_1(z) = z.(-\sqrt{3}/2-i/2)/\sqrt{3}+(1/2+i/2\sqrt{3});$$

$$Y'_2(z) = z.(-\sqrt{3}/2+i/2)/\sqrt{3}+1 \text{ (primes will be used to describe elements that arise from this definition).}$$

Property Z holds for K and therefore $\mu(\delta)$ and $f(\delta)$ are continuous. Figure 4 shows how K looks like.

Let C be a compact set of diameter $\delta < 1/3\sqrt{3}$ such that (by theorem 1) $\mu(\delta) = H^s(C \cap K)$. If C intersects T'_1 or T'_2 but not both then using Y'^{-1}_1 (or Y'^{-1}_2) one can prove that

$$(1) \quad \mu(\delta.\sqrt{3}) = (\sqrt{3})^s.H^s(C \cap K)$$

If C intersects both T'_1 and T'_2 then C can intersect at most the set $\{T'_{23}, T'_{24}, T'_{31}, T'_{32}\}$ (fig.5). But

$$Y(K \cap (T_{23} \cup T_{24} \cup T_{31} \cup T_{32})) = K \cap \{T_{11} \cup T_{12} \cup T_{13} \cup T_{14}\}$$

where Y is a similitude with contraction ratio 1. Therefore one could assume that C only intersects T_1' and (1) holds. Thus

we have proved that if $\delta < 1/3\sqrt{3}$ then $f(\delta) = f(\delta\sqrt{3})$. Therefore theorem 4 holds with $\varepsilon_1 = \Delta$, Δ any number less than

$1/3\sqrt{3}$ and $\varepsilon_2 = \Delta\sqrt{3}$. In fact, in its proof we have only used

the thesis of lemma 2. From this lemma and Th.3 we obtain:

- i) $f(\delta) \leq 1 \quad \delta \in [1/3\sqrt{3}, 1/3]$
- ii) $f(\delta_0) = 1 \quad \text{for some } \delta_0 \in [1/3\sqrt{3}, 1/3]$

We note that property A holds for K for some $\Delta \ll 1/3\sqrt{3}$.

Upper and lower bounds for K had been given in [B]

$$0.026 \approx 2^{-s-4} \leq H^s(K) \leq 2^{s-2} \approx 0.5995.$$

In [M 2] an alternative proof of the upper bound was given and it was conjectured that $H^s(K) = 2^{s-2}$. But we shall see that indeed $H^s(K) < 2^{s-2}$.

Now to get a lower bound for $H^s(K)$ we need to compute h_r .

The following is a table of a function \tilde{h}_2 which is an

$\tilde{h}_2(6/16) = 1/3\sqrt{3}$	$\tilde{h}_2(9/16) \approx 0.29397$
$\tilde{h}_2(7/16) = 2/9$	$\tilde{h}_2(10/16) = 1/3$
$\tilde{h}_2(8/16) = 2/9$	$\tilde{h}_2(11/16) = 4/9$

approximation of h_2 . We recall the definition of h_2 :

$$h_2(\alpha) = \min_{\beta \in G_2^\alpha} (\max_{\Gamma, \Gamma' \in \beta} d(\Gamma, \Gamma'))$$

where $d(.,.)$ is the usual distance between sets.

Let $p_1 = 0$, $p_2 = 1$, $p_3 = 1/2 + i/2\sqrt{3}$, $p_4 = 1/3$, $p_5 = 2/3$,
 $p_6 = 1/6 + i/6\sqrt{3}$, $p_7 = 1/3 + i/3\sqrt{3}$, $p_8 = 2/3 + i/3\sqrt{3}$,

$p_9 = 5/6 + i/6\sqrt{3}$ and $\Gamma = T_{i_1 i_2}$, $\Gamma' = T_{j_1 j_2}$. Then set

$$\tilde{d}(\Gamma, \Gamma') = \min_{1 \leq k, m \leq 9} d(Y_{i_1} \circ Y_{i_2}(p_k), Y_{j_1} \circ Y_{j_2}(p_m))$$

and define \tilde{h}_2 in the following way:

$$\tilde{h}_2(\alpha) = \min_{\beta \in G_2^\alpha} (\max_{\Gamma, \Gamma' \in \beta} \tilde{d}(\Gamma, \Gamma'))$$

Notice that $\tilde{d}(\Gamma, \Gamma') - 1/54 \leq d(\Gamma, \Gamma') \leq \tilde{d}(\Gamma, \Gamma')$. Therefore

$$\tilde{h}_2 := \tilde{h}_2 - 1/54 \leq h_2 \leq \tilde{h}_2$$

and if we define $\tilde{U}_2(\delta) := \max \{\alpha : \tilde{h}_2(\alpha) \leq \delta\}$ then $U_2 \leq \tilde{U}_2$.

h_2 and \tilde{h}_2 are non decreasing. (This is a general fact: H_r and h_r are non decreasing functions if $k_i = k_1$ for all i). To compute the supremum of \tilde{U}_2 on $[1/3\sqrt{3}, 1/3]$ we do not need all the values of \tilde{h}_2 but only those displayed in the table above.

From i, ii) above and theorem 2 a) we get

$$1/\tilde{B}_2 \leq 1/B_2 \leq H^S(K)$$

where $\tilde{B}_2 = \sup_{\delta \in [1/3\sqrt{3}, 1/3]} \tilde{U}_2(\delta)/\delta^S$; $B_2 = \sup_{\delta \in [1/3\sqrt{3}, 1/3]} U_2(\delta)/\delta^S$. Using the

table it is easy to compute \tilde{B}_2 . We have $\tilde{B}_2 \approx 3.723$ and

$$0.26 \leq H^S(K)$$

We compute now an upper bound. Observe that $Q = \{T_{212} \cup T_{213} \cup T_{214} \cup T_{221} \cup T_{222} \cup T_{223} \cup T_{224} \cup T_{231} \cup T_{232} \cup T_{233} \cup T_{234} \cup T_{241} \cup T_{242} \cup T_{243} \cup T_{244} \cup T_{311} \cup T_{312} \cup T_{313} \cup T_{314} \cup T_{321} \cup T_{322} \cup T_{323} \cup T_{324} \cup T_{331} \cup T_{332} \cup T_{333} \cup T_{334} \cup T_{341} \cup T_{342} \cup T_{343}\}$ has diameter

$\delta' = \sqrt{(292/243)}/3 \approx 0.36539$ (see fig.6) and that

$$H^S(Q \cap K) = (30/64)H^S(K).$$

Therefore

$$30.H^s(K)/(64.\delta'^s) \leq \mu(\delta')/\delta'^s \leq 1$$

ie. $H^s(K) < 0.5989 < 0.5995 \approx 2^{s-2}$.

The numbers displayed in example 2 are all exact up to the last digit.

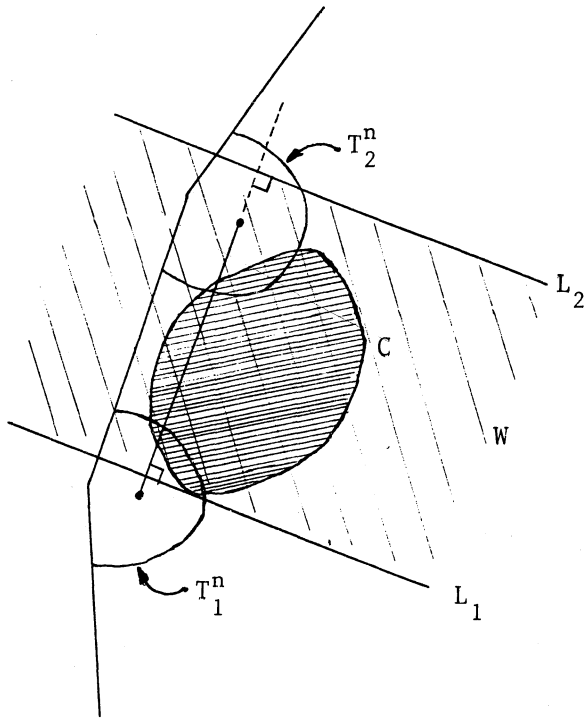


Figure 1

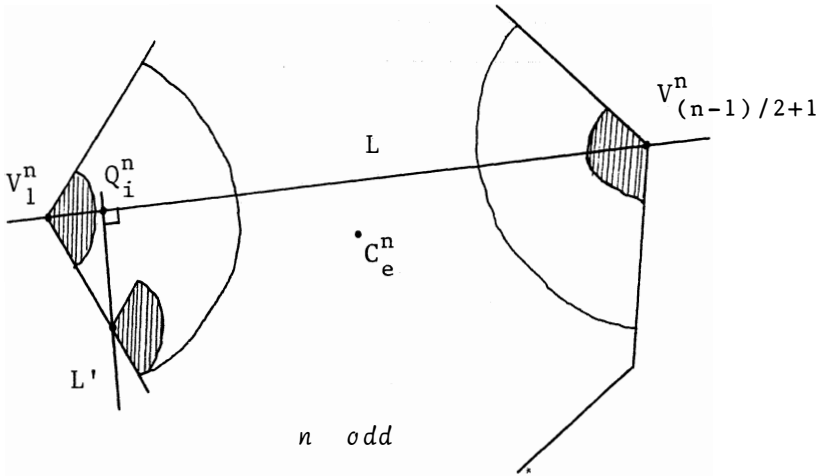
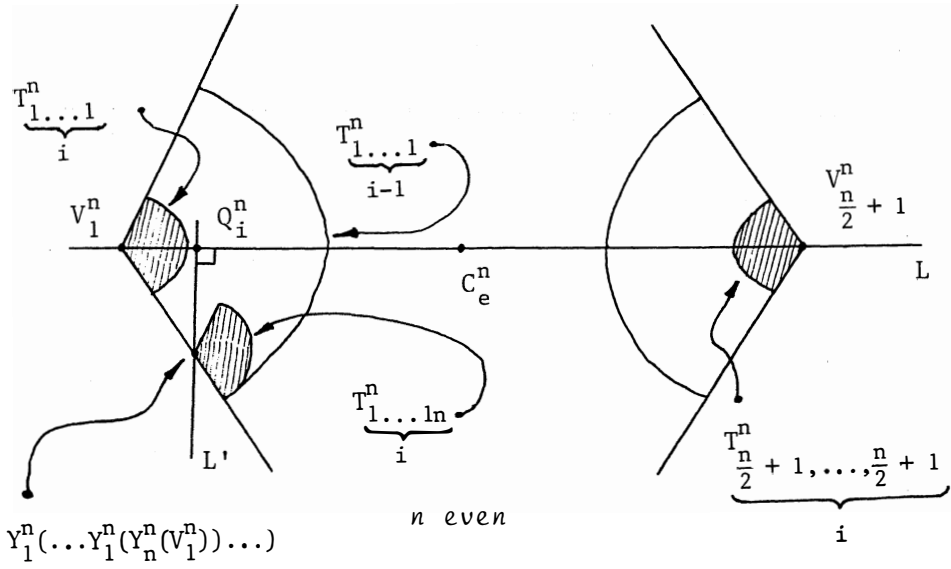


Figure 2

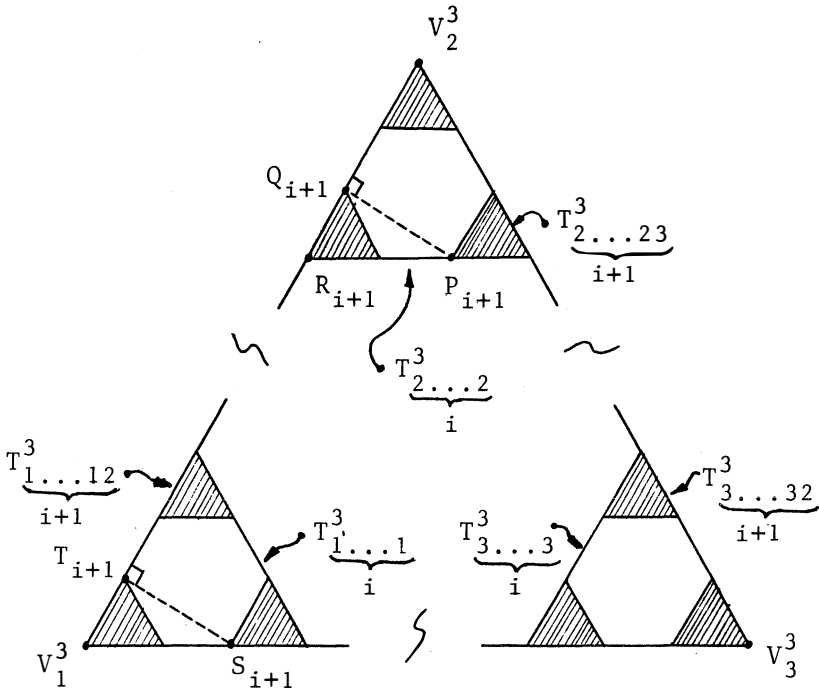


Figure 3

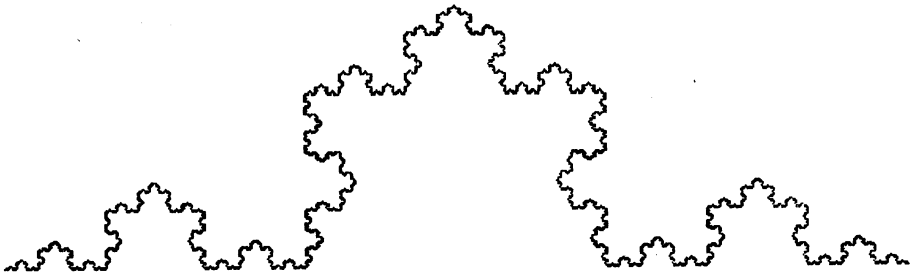


Figure 4

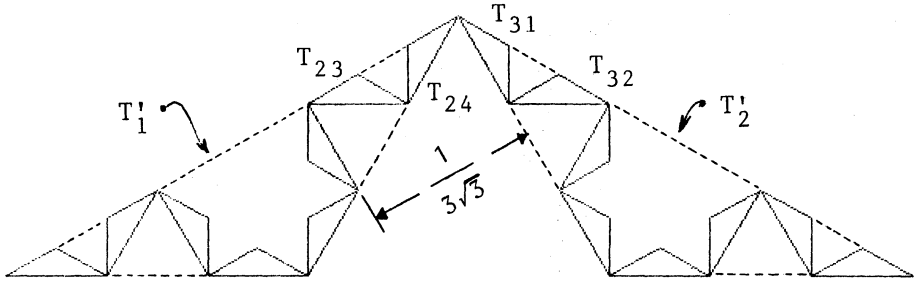
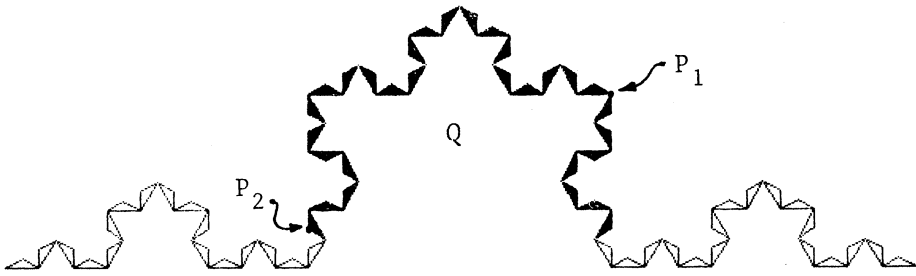


Figure 5



$$\delta' = d(P_1, P_2)$$

Figure 6

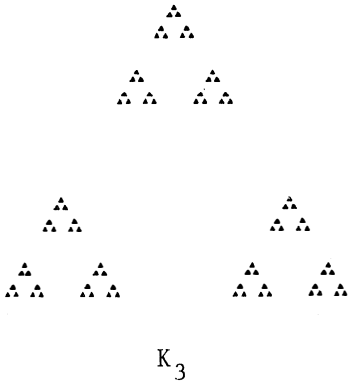


Figure 7

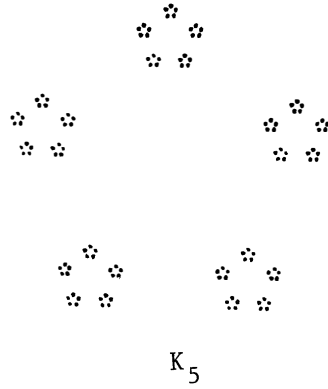


Figure 8

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Departamento e Instituto de Matemática
 Universidad Nacional del Sur.
 Av. Alem 1253. (8.000) Bahía Blanca.
 Argentina.

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