

ON THE MEASURE OF SELF-SIMILAR SETS II

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ABSTRACT. In §1 we show a condition for $\mathcal{H}^s(K_b) > 0$ for almost all $b = (b_1, \dots, b_\ell) \in R^{n\ell}$ where $K_b = \bigcup_{i=1}^{\ell} \psi_i(K_b)$ and ψ_i are similitudes, $\psi_i(x) : R^n \rightarrow R^n$ defined by $\psi_i(x) = k_i A_i x + b_i$, A_i an orthogonal matrix, $0 < k_i < 1/3$, b_i a vector of R^n .

In §2 we give a (geometrical) criterion for a set $K = \bigcup_{i=1}^{\ell} \psi_i(K)$ to be $\mathcal{H}^s(K) = 0$ if the Hausdorff dimension is equal to its similarity dimension.

In §3 we develop a method for calculating the measure of $K = \bigcup_{i=1}^{\ell} \psi_i(K)$ when K meets certain conditions, generalizing a method shown in [7]. We also calculate dimensions of sets K such that their dimensions do not coincide with their similarity dimensions.

Finally we give some examples (Sierpinski sets with overlapping).

§1

Let $\psi_i(x)$, $i = 1, \dots, \ell$, be similitudes in R^n i.e. $\psi_i(x) : R^n \rightarrow R^n$, $\psi_i(x) = k_i A_i x + b_i$ with $0 < k_i < 1$, A_i an orthogonal matrix and b_i a vector. Let $b = (b_1, \dots, b_\ell) \in R^{n\ell}$ and let K_b be the (unique) compact set such that

$$(0) \quad K_b = \bigcup_{i=1}^{\ell} \psi_i(K_b)$$

The following theorem is due to Falconer

Theorem 1 [1]. *If $\max k_i < 1/3$, then the Hausdorff dimension of K_b is $\inf(n, s)$, where $\sum_{i=1}^{\ell} k_i^s = 1$, for almost all $b \in R^{n\ell}$ in the sense of the Lebesgue measure $\mathcal{L}^{n\ell}$.*

The number s , $\sum_{i=1}^{\ell} k_i^s = 1$, is usually known as the similarity dimension of K_b . In this paragraph we **assume that** $\{\max k_i\} < 1/3$ and $b \in \mathcal{A} := \{a \in R^{n\ell} : K_a \text{ has Hausdorff dimension } s \text{ with } \sum_{i=1}^{\ell} k_i^s = 1\}$.

It is easy to show that $\mathcal{H}^s(K_b) < \infty$ for $b \in \mathcal{A}$ (see [3] pg.122).

One natural question is to ask whether $\mathcal{H}^s(K_b) > 0$ for $b \in \mathcal{A}$. (It should be noted that if ψ_i are affine contractions instead of similitudes then $\mathcal{H}^s(K_b)$ may be infinite, cf. [4].).

We prove

Theorem 2. Let $g := \{\min k_i\}$ and $G := \{\max k_i\}$. Suppose

$$(1) \quad \ell \left(\frac{2 \log g}{\log G} \right) \cdot g^n < 1 \equiv \ell^2 \cdot G^n < 1$$

Then $\mathcal{H}^s(K_b) > 0$ for almost all $b \in \mathcal{R}^{n\ell}$.

Condition (1) right implies that the similarity dimension is less than n and therefore by theorem 1, \mathcal{A} is almost all $\mathcal{R}^{n\ell}$. That both formulas in (1) are equivalent follows from taking logarithm to the left hand formula i.e. $\log \left[\ell \left(\frac{2 \log g}{\log G} \right) g^n \right] < 0 \equiv \frac{\log g}{\log G} \log \ell^2 + n \log g < 0$. Multiply this last expression by $\frac{\log G}{\log g}$, getting the right hand formula.

We recall a theorem of McLaughlin [5], generalized by Falconer [2], which we shall use. It should be noted that theorem 3, lemma 1 and corollary 1 are true **without** assuming $\{\max k_i\} < 1/3$ or $b \in \mathcal{A}$ (or both).

Theorem 3 [2]. Suppose that K_b has the following property: there exist a natural number m and $\alpha, r_o > 0$ such that for any set $N \subset K_b$ with $|N| < r_o$ there are sets N_j with $N \subset \bigcup_{j=1}^m N_j$ and mappings $\varphi_j : N_j \rightarrow K_b$ ($1 \leq j \leq m$) such that

($d(\cdot, \cdot)$ is the euclidean distance)

$$\alpha d(x, y) \leq |N| d(\varphi_j(x), \varphi_j(y))$$

for $x, y \in N_j$. Then $\mathcal{H}^s(K_b) > 0$.

To prove Theorem 2 we need

Lemma 1 [6]. Fix b . If $\psi_i(K_b) \cap \psi_j(K_b) = \emptyset$ for $i \neq j$ then $\mathcal{H}^s(K_b) > 0$

Proof.

One can use theorem 3 or one can notice that K_b satisfies an open set condition. See [3] ■

Let $\mathcal{C}(K)$ denote the convex hull of a subset K of R^n . Let i_j be natural numbers such that $1 \leq i_j \leq \ell$. I stands for a finite tuple of such i_j i.e. $I = i_1 \dots i_m$, and $|I|$ denotes the length of such a tuple. We write for short $\psi_I(\cdot) = \psi_{i_1}(\dots(\psi_{i_m}(\cdot))\dots)$.

Given I, J two tuples, we say that I is a curtailment of J (we write $I \leq J$) iff $I = i_1 \dots i_m$; $J = i_1 \dots i_m, j_{m+1} \dots j_s$, $s \geq m$. It is not difficult to see that \leq defines a partial order in the set of all finite tuples.

Corollary 1. Suppose K_b has the following property: there exists a finite family of tuples \mathcal{F} (not necessarily of equal length) such that

(i) For any I' , $|I'| \geq \max_{J \in \mathcal{F}} |J|$, there exists $I \in \mathcal{F}$ such that $I \leq I'$ (\mathcal{F} is secure).

(ii) $\psi_I(K_b) \cap \psi_J(K_b) = \emptyset$ for any pair $I, J \in \mathcal{F}$ with $i_1 \neq j_1$

Then we have $\mathcal{H}^s(K_b) > 0$.

Proof.

Suppose $p \in \psi_i(K_b)$ $1 \leq i \leq \ell$, say $p \in \psi_1(K_b)$. Choose an index $I' = 1i'_2 \dots i'_m$ such that $|I'| = \max_{J \in \mathcal{F}} |J|$ and $p \in \psi_{I'}(K_b)$. Therefore by (i) we have an index $I \in \mathcal{F}$ such that $I \leq I'$ and $p \in \psi_I(K_b) \supset \psi_{I'}(K_b)$ with $I = 1i'_2 \dots i'_j (j \leq m)$. A similar argument and (ii) shows that $p \notin \psi_i(K_b)$, $1 \leq i \neq 1 \leq \ell$ i.e. $\psi_1(K_b) \cap \psi_i(K_b) = \emptyset \forall i \neq 1$. By lemma 1, $\mathcal{H}^s(K_b) > 0$. ■

Proof of theorem 2.

Let $b_o \in R^{n\ell}$. There is no loss of generality if we assume $0 \in K_{b_o}$. Let Q_{b_o} be a cube in $R^{n\ell}$ centered at b_o , $\mathcal{L}^{n\ell}(Q_{b_o}) \leq 1$. Therefore $|K_b \cup \{0\}| < c_o$, for some constant c_o if $b \in Q_{b_o}$. This is possible since $K_b = \{b_{i_1} + k_{i_1} A_{i_1} b_{i_2} + k_{i_1} k_{i_2} A_{i_1} A_{i_2} b_{i_3} + \dots : i_j \in \{1, \dots, \ell\}\}$. We will show that $\mathcal{H}^s(K_b) > 0$ for almost all $b_o \in Q_{b_o}$. This will prove our theorem.

Let $Q_{b_o}^j = \{(\ell - 1) - \text{tuples } (b_1, \dots, \hat{b}_j, \dots, b_\ell) : b \in Q_{b_o}\}$. Fix \mathcal{O} a large natural number and $\mathcal{F}_{\mathcal{O}}$ be the set of all tuples $I = i_1 \dots i_m$ such that $g^{\mathcal{O}} < k_{i_1} \dots k_{i_{m-1}}$ and $k_{i_1} \dots k_{i_m} \leq g^{\mathcal{O}}$. Then $\mathcal{F}_{\mathcal{O}}$ has property i of corollary 1 and $K_b = \bigcup_{I \in \mathcal{F}_{\mathcal{O}}} \psi_I(K_b)$ for all b . Let

$$\begin{aligned} \sum_I (b) &:= b_{i_1} + k_{i_1} A_{i_1} b_{i_2} + \dots + k_{i_1} \dots k_{i_{m-1}} A_{i_1} \dots A_{i_{m-1}} b_{i_m} \\ (2) \qquad &= \psi_I(0) \end{aligned}$$

and

$$T_I(b) := \mathcal{C}(\psi_I(K_b)) = \psi_I(\mathcal{C}K_b)$$

The number of elements of $\mathcal{F}_{\mathcal{O}}$ is not greater than $c_1 \cdot \ell \left(\frac{\log g}{\log G} \right)^{\mathcal{O}}$ where $c_1 \leq \ell$. Let $I, J \in \mathcal{F}_{\mathcal{O}} : i_1 \neq j_1$. We want to measure $A_{IJ} := \{b : b \in Q_{b_o} \text{ and } T_I(b) \cap T_J(b) \neq \emptyset\}$. Let $b \in A_{IJ}$. Then

$$\begin{aligned} \left| \sum_I (b) - \sum_J (b) \right| &= |\psi_I(0) - \psi_J(0)| \leq |\psi_I(K_b \cup \{0\}) \cup \psi_J(K_b \cup \{0\})| \\ (3) \qquad &\leq |\psi_I(\mathcal{C}(K_b \cup \{0\})) \cup \psi_J(\mathcal{C}(K_b \cup \{0\}))| \leq 2c_o g^{\mathcal{O}} \end{aligned}$$

Therefore if $b, b' \in A_{IJ}$ and

$$\begin{aligned} b &= (b_1, \dots, b_{i_1-1}, b_{i_1}, b_{i_1+1}, \dots, b_\ell) \\ b' &= (b_1, \dots, b_{i_1-1}, b'_{i_1}, b_{i_1+1}, \dots, b_\ell) \end{aligned}$$

Then from (3) and (2) and $i_1 \neq j_1$ we get

$$\left| \left[\sum_I (b) - \sum_J (b) \right] - \left[\sum_I (b') - \sum_J (b') \right] \right| = |(b_{i_1} - b'_{i_1}) + \Delta| \leq 4c_o g^{\mathcal{O}}$$

with

$$|\Delta| \leq \frac{2G}{1-G} |b_{i_1} - b'_{i_1}|$$

Combining this last two inequalities we get $|b_{i_1} - b'_{i_1}| \leq c_2 \cdot g^{\mathcal{O}}$ with c_2 depending on k_i and c_o . Therefore projecting along the axis i_1 we have $\mathcal{L}^{n\ell}(A_{IJ}) \leq c_3 \mathcal{L}^{n(\ell-1)}(Q_{b_n}^{i_1}) \cdot g^{\mathcal{O} \cdot n} \leq c_3 \cdot g^{\mathcal{O} \cdot n}$ with c_3 depending on k_i , c_o and n .

If $b \notin \left\{ \bigcup_{I, J \in \mathcal{F}_{\mathcal{O}}; i_1 \neq j_1} A_{IJ} \right\}$ then K_b has property ii) stated in Corollary 1 and then $\mathcal{H}^s(K_b) > 0$. But the number of pairs (IJ) $I, J \in \mathcal{F}_{\mathcal{O}}$, $i_1 \neq j_1$, is not greater than

$c_1^2 \cdot \ell \frac{2^{\mathcal{O}} \log g}{\log G}$. Therefore the set $\left\{ \bigcup_{I, J \in \mathcal{F}_{\mathcal{O}}; i_1 \neq j_1} A_{IJ} \right\}$ has (outer) measure at most

$$c_1^2 \cdot c_3 \cdot \left(g^n \cdot \ell \left(\frac{2 \log g}{\log G} \right)^{\mathcal{O}} \right)$$

and this tends to zero by hypothesis if $\mathcal{O} \rightarrow \infty$. The theorem follows. ■

§2

Let K be a self-similar set i.e. $K = \bigcup_{i=1}^{\ell} \psi_i(K)$ where ψ_i are similitudes of ratio $0 < k_i < 1$, K compact. Recall that $\mathcal{H}^s(K) < \infty$ if s is the similarity dimension (cf [3] pg.122). Assume that the Hausdorff dimension of K is equal to its similarity dimension. Under such hypothesis we want to give some geometrical criterion for $\mathcal{H}^s(K) = 0$. This is proposition 1 below. To prove it we need some tools. The following function $f(\delta)$ has been defined in [7] for K , s as above, with the extra condition $0 < \mathcal{H}^s(K)$: let, for $\delta > 0$,

$$f(\delta) := \sup \{ \mathcal{H}^s(K \cap C_{\delta}) / \delta^s : C_{\delta} \text{ is a convex compact set of diameter } \delta \}$$

In [7] it was proved that $f(\delta) \leq 1$ for all $\delta > 0$ (see also §3 of this paper).

We follow the notation of the proof of theorem 2, $\mathcal{F}_{\mathcal{O}}$ being the set of all tuples $I = i_1 \dots i_m$ such that $g^{\mathcal{O}} < k_{i_1} \dots k_{i_{m-1}}$ and

$$(4) \quad g^{\mathcal{O}+1} < k_{i_1} \dots k_{i_m} \leq g^{\mathcal{O}}$$

Recall $K = \bigcup_{I \in \mathcal{F}_{\mathcal{O}}} \psi_I(K)$. Write for short $T_I := \mathcal{C}(\psi_I(K))$.

Let $h(\mathcal{O}) :=$ the maximum number of elements $I_1 \dots I_q \in \mathcal{F}_{\mathcal{O}}$ such that

$$(5) \quad d(T_{I_i}, T_{I_j}) \leq g^{\mathcal{O}} |K|$$

The function $h(\mathcal{O})$, roughly speaking, measures the overlapping of the sets of approximately equal diameter $\psi_I(K)$, $I \in \mathcal{F}_{\mathcal{O}}$.

Proposition 1. *If the Hausdorff and similarity dimension of K are equal to s then:*
 $\mathcal{H}^s(K) = 0 \iff \overline{\lim}_{\mathcal{O} \rightarrow \infty} h(\mathcal{O}) = \infty$

Proof.

\Rightarrow) Suppose $\overline{\lim}_{\mathcal{O} \rightarrow \infty} h(\mathcal{O}) \leq \beta$. Therefore if \mathcal{O} is any large natural number then by definition of $h(\mathcal{O})$ any set N such that $N \subset K$, $g^{\mathcal{O}+1} < |N| \cdot |K| \leq g^{\mathcal{O}}$ can be decomposed in at most β sets $N_j = \psi_{I_j}(K) \cap N$ with $I_j \in \mathcal{F}_{\mathcal{O}}$ i.e. $N \subset \bigcup_1^{\beta} N_j$. Apply theorem 3 with $\varphi_j = \psi_{I_j}^{-1}$.

\Leftarrow) Suppose $\overline{\lim}_{\mathcal{O} \rightarrow \infty} h(\mathcal{O}) = \infty$ and $\mathcal{H}^s(K) > 0$. Then we are in condition to define the function $f(\delta)$ as above. Also observe that $\mathcal{H}^s(\psi_i(K) \cap \psi_j(K)) = 0$ for $1 \leq i \neq j \leq \ell$ and therefore $\mathcal{H}^s(\psi_I(K) \cap \psi_J(K)) = 0$ if $I \neq J \in \mathcal{F}_{\mathcal{O}}$.

By definition of $h(\mathcal{O})$ there exists $I_1 \dots I_{h(\mathcal{O})}$ such that $d(T_{I_i}, T_{I_j}) \leq g^{\mathcal{O}}|K|$.

Let $C_{\delta_o} = \{x : d(x, T_{I_i}) \leq 2g^{\mathcal{O}}|K|\}$.

Therefore (by (4)) C_{δ_o} contains $T_{I_1}, \dots, T_{I_{h(\mathcal{O})}}$ and has diameter $\delta_o \leq 5 \cdot g^{\mathcal{O}} \cdot |K|$. Therefore, since $\mathcal{H}^s(\psi_{I_j}(K) \cap \psi_{I_i}(K)) = 0$ we have

$$\begin{aligned} 1 &\geq f(\delta_o) \geq \frac{\mathcal{H}^s(K \cap C_{\delta_o})}{\delta_o^s} \geq \frac{h(\mathcal{O}) \{ \min_{i=1 \dots h(\mathcal{O})} \mathcal{H}^s(\psi_{I_i}(K)) \}}{5^s |K|^s g^{\mathcal{O}s}} \geq (\text{by 4}) \geq \\ &\geq \frac{h(\mathcal{O}) \mathcal{H}^s(K) g^s}{5^s |K|^s} \end{aligned}$$

This is absurd taking $\mathcal{O} \rightarrow \infty$ ■

§3

In this section we assume K to be a *self-similar set*, i.e. $K = \bigcup_{i=1}^{\ell} \psi_i(K)$, ψ_i a similitude of ratio $0 < k_i < 1$. In [7] a method was given which permits to approximate the Hausdorff measure of $\mathcal{H}^s(K)$ assuming that:

- (i) $0 < \mathcal{H}^s(K) < \infty$
- (ii) K has property A (see below)

(iii) $\sum_{i=1}^{\ell} k_i^s = 1$ i.e. the Hausdorff dimension of K is equal to its similarity dimension.

In this section we want to generalize this result by dropping condition iii). Recall that for a self similar set K satisfying conditions i) and iii) one must have $\mathcal{H}^s(\psi_i(K) \cap \psi_j(K)) = 0 \quad \forall i \neq j; 1 \leq i, j \leq \ell$.

Theorem 4. *Assume K to be a self similar set and $0 < \mathcal{H}^s(K) < \infty$. Define*

$$f(\delta) := \sup_{\substack{C_{\delta} \text{ convex} \\ \text{compact} \\ \text{of diameter } \delta > 0}} \mathcal{H}^s(K \cap C_{\delta}) / \delta^s$$

Then $f(\delta) \leq 1 \quad \forall \delta > 0$.

Proof.

If the Hausdorff dimension of K is zero then K has to be a point (if K had two points at least then being K a self similar set defined by similitudes then it should have infinite points. This would contradict $\mathcal{H}^0(K) < \infty$). The theorem is true in this case.

Therefore we assume $s > 0$. Suppose $\frac{\mathcal{H}^s(K \cap C_\delta)}{\delta^s} = \frac{\mathcal{H}^s(K \cap C_\delta)}{|C_\delta|^s} \geq \beta > 1$ for some C_δ convex and compact. Moreover one can assume $|K \cap \partial C_\delta| = |C_\delta| = \delta$. Let $A_n = \bigcup_{|I| \geq n} \psi_I(K \cap C_\delta)$. Therefore $A_{n+1} \subset A_n$. Let $A = \bigcap_{i=1}^{\infty} A_i$. For A we have $\mathcal{H}^s(A) > 0$ or $\mathcal{H}^s(A) = 0$.

Assume $\mathcal{H}^s(A) > 0$. Let n_o be such that $\mathcal{H}^s(A_{n_o}/A) < \varepsilon$ and observe that $\{\psi_I(K \cap C_\delta)\}$, $|I| \geq n_o$ is a Vitali family for A . Since for any countable disjoint subfamily we have

$$\begin{aligned} \sum |\psi_I(K \cap C_\delta)|^s &\leq \frac{1}{\beta} \sum \mathcal{H}^s(\psi_I(K \cap C_\delta)) \\ (6) \qquad \qquad \qquad &\leq \frac{\mathcal{H}^s(K)}{\beta} < \infty, \end{aligned}$$

there exists a disjoint countable subfamily indexed by \mathcal{F} such that $\mathcal{H}^s(A / \bigcup_{I \in \mathcal{F}} \psi_I(K \cap C_\delta)) = 0$ (cf.[3], pg.11). Besides, we can assume

$$\beta \mathcal{H}^s(A) - \varepsilon \leq \beta \sum_{I \in \mathcal{F}} |\psi_I(K \cap C_\delta)|^s \leq \sum_{I \in \mathcal{F}} \mathcal{H}^s(\psi_I(K \cap C_\delta)) \leq \mathcal{H}^s(A_{n_o}) \leq \varepsilon + \mathcal{H}^s(A)$$

This is absurd if ε is sufficiently small.

If $\mathcal{H}^s(A) = 0$, let c be a fixed positive number such that $0 < \delta < c$ and

$$(7) \qquad K \subset [K \cap C_\delta]_c = \{x : d(x, K \cap C_\delta) \leq c\}$$

For any $\varepsilon > 0$, let $n_o = n_o(\varepsilon)$ be a natural number such that $\mathcal{H}^s(A_{n_o}) \leq \varepsilon$. Then

$$(8) \qquad \mathcal{H}^s \left(\bigcup_{I \in \mathcal{F}'} \psi_I(K \cap C_\delta) \right) \leq \varepsilon$$

where \mathcal{F}' denotes a maximal family of indices I of length n_o chosen in the following way: first choose I_o ($|I_o| = n_o$) such that $|\psi_{I_o}(K \cap C_\delta)| = \max_{|I|=n_o} |\psi_I(K \cap C_\delta)|$. From all the indices I ($|I| = n_o$) such that $\psi_I(K \cap C_\delta) \cap \psi_{I_o}(K \cap C_\delta) = \emptyset$ choose one such that its diameter is maximum, call this index I_1 and so on. Using (7) we see that

$$(9) \qquad K \subset \bigcup_{|I|=n_o} \psi_I([K \cap C_\delta]_c)$$

and by the way \mathcal{F}' is chosen (recall $0 < \delta < c$)

$$(10) \quad K \subset \bigcup_{I \in \mathcal{F}'} \psi_I([K \cap C_\delta]_c)$$

Moreover

$$(11) \quad \frac{\mathcal{H}^s(\psi_I(K \cap C_\delta))}{|\psi_I(K \cap C_\delta)|^s} \geq \beta > 1 \quad \forall I \in \mathcal{F}'$$

Using this last formula, (8) and (10), we get

$$(12) \quad \frac{\varepsilon}{\beta} \geq \sum_{I \in \mathcal{F}'} |\psi_I(K \cap C_\delta)|^s \geq \left(\frac{\delta}{3c}\right)^s \left(\sum_{I \in \mathcal{F}'} |\psi_I([K \cap C_\delta]_c)|^s \right) \geq \left(\frac{\delta}{3c}\right)^s \mathcal{H}_{3c\{\max k_i\}^{n_o}}^s(K)$$

It follows that $\mathcal{H}^s(K) = 0$, an absurd. ■

Corollary 2. *Assume the hypothesis of theorem 4. Then:*

- (i) $f(\delta) \leq 1 \quad \forall \delta > 0$
- (ii) $\lim_{\delta \rightarrow 0} f(\delta) = 1$
- (iii) $f(\delta)$ is continuous from the right

Proof.

(ii) follows from elementary density bounds (see [3], p. 24).

(iii) From Blaschke selection theorem follows that for any δ there is a compact, convex set of diameter δ , C_δ , such that $f(\delta) = \frac{\mathcal{H}^s(K \cap C_\delta)}{\delta^s}$. Notice that $f(\delta)\delta^s$ is non decreasing. Using these last observations and the continuity of $\mathcal{H}^s(\cdot)$ we get (iii) (cf. [7] §1). ■

Property A. We say K has property A if there exists $a > 0$ such that for any sphere $B_{r_1}(x)$ with $r_1 < a$ there exists an expanding similitude $\psi(z)$ with contraction ratio $\xi \geq 1$ and an index i_o , $1 \leq i_o \leq \ell$, such that

$$\psi(B_{r_1}(x) \cap K) \subset \psi_{i_o}(K)$$

Property A is indeed quite strong.

Proposition 2. *If K is a self-similar set with property A and Hausdorff dimension s then K is an s -set i.e. $0 < \mathcal{H}^s(K) < \infty$.*

Proof.

As ψ_i are similitudes and $K = \bigcup_{i=1}^{\ell} \psi_i(K)$, by [2] page 550 we get $\mathcal{H}^s(K) < \infty$.

That $0 < \mathcal{H}^s(K)$ follows from the fact that property A implies the hypothesis of theorem 3. This assertion is proved as follows. Let N be any subset of K of diameter less than a of property A. Then by this property there exists an index i_o , $1 \leq i_o \leq \ell$ and ψ an expansive similitude such that $\psi(N) \subset \psi_{i_o}(K)$. Taking $\psi_{i_o}^{-1}$ in this inclusion one gets $\psi_{i_o}^{-1}\psi(N) \subset K$. If $|\psi_{i_o}^{-1}\psi(N)| < a$ then proceed as before with $\psi_{i_o}^{-1}\psi(N)$ as N . After a finite number of steps one gets

$$\begin{aligned} \psi_{i_n}^{-1}\psi' \dots \psi_{i_o}^{-1}\psi(N) &\subset K \\ a &\leq |\psi_{i_n}^{-1}\psi' \dots \psi_{i_o}^{-1}\psi(N)| \end{aligned}$$

where $1 \leq i_j \leq \ell$ and ψ', \dots, ψ are expansive similitudes. Therefore one can define $\varphi(x) = \psi_{i_n}^{-1}\psi' \dots \psi_{i_o}^{-1}\psi(x) : N \rightarrow K$. It is easily checked that $a d(x, y) \leq |N| d(\varphi(x), \varphi(y))$ for all x, y in N . ■

The following is a corollary of theorem 4.

Corollary 3. *If K has property A and $0 < \mathcal{H}^s(K) < \infty$ then $f(\delta) = 1$ for some δ_o such that $a \leq \delta_o \leq |K|$*

Proof.

Let δ be such that $0 < \delta < a$. We want to show that $f(\delta) \leq f(\delta\xi\{\min k_i\}^{-1})$. For this let C_δ be a convex compact set such that $f(\delta) = \frac{\mathcal{H}^s(K \cap C_\delta)}{\delta^s}$. Obviously $C_\delta \subset B_{r_1}(x)$ for some sphere with radius $r_1 < a$. From this and property A we get

$$\psi(C_\delta \cap K) \subset \psi(B_{r_1}(x) \cap K) \subset \psi_{i_o}(K)$$

and therefore $\psi_{i_o}^{-1}(\psi(C_\delta \cap K)) = \psi_{i_o}^{-1}(\psi(C_\delta)) \cap \psi_{i_o}^{-1}(\psi(K)) \subset K$. Intersecting this last expression with $\psi_{i_o}^{-1}(\psi(C_\delta))$ we get $\psi_{i_o}^{-1}(\psi(C_\delta \cap K)) \subset K \cap \psi_{i_o}^{-1}(\psi(C_\delta))$ and therefore

$$\mathcal{H}^s(\psi_{i_o}^{-1}(\psi(K \cap C_\delta))) = \xi^s k_{i_o}^{-s} \mathcal{H}^s(K \cap C_\delta) \leq \mathcal{H}^s(K \cap \psi_{i_o}^{-1}(\psi(C_\delta)))$$

i.e.

$$f(\delta) = \frac{\mathcal{H}^s(K \cap C_\delta)}{\delta^s} \leq \frac{\mathcal{H}^s(K \cap \psi_{i_o}^{-1}(\psi(C_\delta)))}{\delta^s \xi^s k_{i_o}^{-s}} \leq f(\delta\xi k_{i_o}^{-1})$$

(notice that $\psi_{i_o}^{-1}(\psi(C_\delta))$ is convex, compact, of diameter $\delta\xi k_{i_o}^{-1}$). This proves the assertion. From this, i) and ii) of corollary 2 we get that $\sup_{[a, \infty)} f(\delta) = 1$ and therefore

$\sup_{[a, |K|]} f(\delta) = 1$ because $f(\delta) = \frac{\mathcal{H}^s(K)}{\delta^s}$ for $\delta > |K|$. Since $f(\delta)$ is continuous from the right and $f(\delta) \cdot \delta^s$ is non decreasing we get $f(\delta_o) = 1$ for some $a \leq \delta_o \leq |K|$. ■

Definition. K is ε -discrete if $0 < \mathcal{H}^s(K) < \infty$ and there exist (non void) sets K_1, \dots, K_q , $q = q(\varepsilon)$, such that:

- (i) $K = \bigcup_{i=1}^q K_i$ and $\mathcal{H}^s(K_i \cap K_j) = 0$ for $i \neq j$
- (ii) $|K_i| \leq \varepsilon \forall i$
- (iii) the numbers $\alpha_i := \frac{\mathcal{H}^s(K_i)}{\mathcal{H}^s(K)}$, $i = 1 \dots q$, can be calculated.

The reader should observe that if a self similar set K is such that $0 < \mathcal{H}^s(K) < \infty$ and its Hausdorff dimension s is equal to its similarity dimension then K is ε -discrete. To see this, just take as K_i in the above definition the sets $\psi_I(K)$, $|I| = |i_1 \dots i_{n_o}| = n_o$ i.e. $q = \ell^{n_o}$. Properties i), ii) are easily verified and $\frac{\mathcal{H}^s(K_i)}{\mathcal{H}^s(K)} = \frac{\mathcal{H}^s(\psi_I(K))}{\mathcal{H}^s(K)} = k_{i_1}^s \dots k_{i_{n_o}}^s$.

Therefore the Sierpinski set and the Cantor set are ε -discrete. See §4 this paper for other examples.

If K is ε -discrete with $\varepsilon < a_o$ then we can obtain approximations of $f(\delta)$ on $[a_o, |K|]$. This is theorem 5 below. Later we shall use $a_o = a$, with a of property A. For this theorem we need some definitions that **only** assume that K is ε -discrete with $\varepsilon < a_o$.

Let \mathcal{P} be the family of all non void sets $\{i_1, \dots, i_t\}$ with $1 \leq i_1 < \dots < i_t \leq q$. If $p \in \mathcal{P}$ define $G(p) = |\bigcup_{i \in p} K_i|$. It is clear that $G(\mathcal{P})$ is a finite set of non negative numbers and there exists some $d \in G(\mathcal{P})$ such that $d \leq \varepsilon < a_o$ (by ii) of the above definition). Define $\tilde{\mathcal{U}}$ on $G(\mathcal{P})$ in the following way: $\tilde{\mathcal{U}}(d) := \max_{\substack{p \text{ such} \\ \text{that} \\ G(p)=d}} (\sum_{i \in p} \alpha_i)$.

Next we define $\mathcal{U}(\delta)$, for $\delta \geq a_o$, $\mathcal{U}(\delta)$. $\mathcal{H}^s(K)$ will be an approximation of $f(\delta) \cdot \delta^s$. Define $\mathcal{U}(\delta) := \max_{\substack{d \leq \delta \\ d \in G(\mathcal{P})}} \tilde{\mathcal{U}}(d)$. Easy consequences of the definition of $\mathcal{U}(\delta)$

are that it is a non decreasing function and that $\mathcal{U}(\delta)$ is constant on the intervals $[a_o, a_1), [a_1, a_2), \dots, [a_w, \infty)$ with $a_w \leq |K|$ where $a_1 < \dots < a_w$ are points of $G(\mathcal{P})$ and $\mathcal{U}(\delta) = 1$ for $\delta \in [a_w, \infty)$ by i) of the above definition. We also recall that $f(\delta) \cdot \delta^s$ is non decreasing and continuous from the right and that s does not coincide necessarily with the similarity dimension.

Theorem 5. Assume that K is ε -discrete with $\varepsilon < a_o$. Then

- (i) $\mathcal{U}(\delta) \leq \frac{f(\delta)\delta^s}{\mathcal{H}^s(K)} \leq \mathcal{U}(\delta + 2\varepsilon)$ for $\delta \geq a_o$
- (ii) Suppose that K is ε -discrete for each $\varepsilon > 0$. Then

$$\left(\sup_{\delta \in [a_o, |K|]} \frac{\mathcal{U}(\delta + 2\varepsilon)}{\delta^s} - \sup_{\delta \in [a_o, |K|]} \frac{\mathcal{U}(\delta)}{\delta^s} \right) \rightarrow 0 \text{ for } \varepsilon \rightarrow 0.$$

Proof.

We assume $s > 0$. If $s = 0$ then K must be a point and the theorem is trivial.

(i) Fix δ . By Blaschke selection theorem $f(\delta) \cdot \delta^s = \mathcal{H}^s(K \cap C_\delta)$ for some C_δ convex compact. Let $d \in G(\mathcal{P}), d \leq \delta$. Then $\tilde{\mathcal{U}}(d) \cdot \mathcal{H}^s(K) = \max_{G(p)=d} (\sum_{i \in p} \alpha_i) \mathcal{H}^s(K) = \max_{G(p)=d} (\sum_{i \in p} \mathcal{H}^s(K_i)) \leq f(\delta) \cdot \delta^s$ This last inequality because $|\bigcup_{i \in p} K_i| = d \leq \delta$ and $f(\delta) \cdot \delta^s$ is non decreasing. From this the left hand inequality of i) follows. To prove the right hand inequality, suppose that C_δ is such that $f(\delta) \cdot \delta^s = \mathcal{H}^s(K \cap C_\delta)$ and let $p_o \in \mathcal{P}$ be the set of indices i such that K_i intersects C_δ . As $|K_j| \leq \varepsilon \forall j$ we get $G(p_o) = |\bigcup_{i \in p_o} K_i| \leq \delta + 2\varepsilon$ and therefore by the definition of $\mathcal{U}(\delta)$ we have

$$\begin{aligned} f(\delta) \cdot \delta^s &= \mathcal{H}^s(K \cap C_\delta) \leq \mathcal{H}^s(K \cap (\bigcup_{i \in p_o} K_i)) \\ &= \left(\sum_{i \in p_o} \alpha_i \right) \mathcal{H}^s(K) \leq \mathcal{U}(G(p_o)) \mathcal{H}^s(K) \\ &\leq \mathcal{U}(\delta + 2\varepsilon) \mathcal{H}^s(K) \end{aligned}$$

proving the other inequality of i).

(ii) From i) we get $\sup_{\delta \in [a_o, |K|]} \frac{\mathcal{U}(\delta)}{\delta^s} \leq \sup_{\delta \in [a_o, |K|]} \frac{\mathcal{U}(\delta + 2\varepsilon)}{\delta^s} \leq \sup_{\delta \in [a_o, |K|]} \frac{\mathcal{U}(\delta + 2\varepsilon)}{(\delta + 2\varepsilon)^s} \sup_{\delta \in [a_o, |K|]} \frac{(\delta + 2\varepsilon)^s}{\delta^s} \leq \sup_{\delta \in [a_o + 2\varepsilon, |K| + 2\varepsilon]} \frac{\mathcal{U}(\delta)}{\delta^s} \sup_{\delta \in [a_o, |K|]} \frac{(\delta + 2\varepsilon)^s}{\delta^s}$. But $\mathcal{U}(\delta) = 1$ if $\delta \geq |K|$. Then $\sup_{\delta \in [a_o + 2\varepsilon, |K| + 2\varepsilon]} \frac{\mathcal{U}(\delta)}{\delta^s} \leq \sup_{\delta \in [a_o, |K|]} \frac{\mathcal{U}(\delta)}{\delta^s}$. From this and the above inequality we get $0 \leq \sup_{\delta \in [a_o, |K|]} \frac{\mathcal{U}(\delta + 2\varepsilon)}{\delta^s} - \sup_{\delta \in [a_o, |K|]} \frac{\mathcal{U}(\delta)}{\delta^s} \leq \sup_{\delta \in [a_o, |K|]} \frac{\mathcal{U}(\delta)}{\delta^s} (\sup_{\delta \in [a_o, |K|]} \frac{(\delta + 2\varepsilon)^s}{\delta^s} - 1) \leq (by\ i) \leq \sup_{\delta \in [a_o, |K|]} \frac{f(\delta)}{\mathcal{H}^s(K)} (\sup_{\delta \in [a_o, |K|]} \frac{(\delta + 2\varepsilon)^s}{\delta^s} - 1)$ which proves ii). ■

Finally, we show how to obtain bounds for $\mathcal{H}^s(K)$. Assume

(i) K has property A

(ii) K is ε -discrete with $\varepsilon < a$, a of property A

Then, from theorem 5 and corollaries 2 i) and 3 we get

$$(13) \quad \sup_{\delta \in [a, |K|]} \frac{\mathcal{U}(\delta)}{\delta^s} \leq \frac{1}{\mathcal{H}^s(K)} \leq \sup_{\delta \in [a, |K|]} \frac{\mathcal{U}(\delta + 2\varepsilon)}{\delta^s}$$

If K is ε -discrete for any $\varepsilon > 0$ we get from theorem 5 ii) that in (13) the two suprema tend to $1/\mathcal{H}^s(K)$, yielding the algorithm. For an example see §4.

We finally point out that in practice, knowing α_i in the definition of the ε -discreteness requires the knowledge of s , the dimension of K . We prove a lemma showing how this dimension can be obtained in some cases. We **assume** that $0 < \mathcal{H}^s(K) < \infty$, which in practice will follow from theorem 3 and [2].

Lemma 2. Let $K = \bigcup_{i=1}^{\ell} \psi_i(K)$. Assume $\psi_i(K) \cap \psi_j(K)$, $i \neq j$, is a disjoint union of sets $K_t^{i,j}$ $t = 1, \dots, n(i, j)$ where $K_t^{i,j} = \psi_t^{i,j}(K)$ and $\psi_t^{i,j}$ is a similitude with contraction ratio ξ_t^{ij} . Assume also $\mathcal{H}^s(\psi_i(K) \cap \psi_j(K) \cap \psi_k(K)) = 0$ for any triple (i, j, k) , $i \neq j \neq k \neq i$. Then the Hausdorff dimension s verifies the following relation

$$1 = \sum_{i=1}^{\ell} \xi_i^s - \left(\sum_{\substack{i,j=1 \\ j < i}}^{\ell} \sum_{t=1}^{n(i,j)} (\xi_t^{ij})^s \right)$$

(here ξ_i is the contraction ratio of ψ_i)

Proof.

From the hypothesis we know that

$$(14) \quad \mathcal{H}^s(\psi_i(K)) = \mathcal{H}^s \left(\psi_i(K) / \left(\bigcup_{\substack{j=1 \\ j \neq i}}^{\ell} \psi_j(K) \right) \right) + \sum_{\substack{j=1 \\ j \neq i}}^{\ell} \sum_{t=1}^{n(i,j)} \mathcal{H}^s(K_t^{i,j})$$

and therefore

$$(15) \quad \xi_i^s \mathcal{H}^s(K) = \mathcal{H}^s \left(\psi_i(K) / \left(\bigcup_{\substack{j=1 \\ j \neq i}}^{\ell} \psi_j(K) \right) \right) + \sum_{\substack{j=1 \\ j \neq i}}^{\ell} \sum_{t=1}^{n(i,j)} (\xi_t^{ij})^s \mathcal{H}^s(K)$$

Also from the hypothesis we get

$$(16) \quad \mathcal{H}^s(K) = \sum_{i=1}^{\ell} \mathcal{H}^s \left(\psi_i(K) / \left(\bigcup_{\substack{j=1 \\ j \neq i}}^{\ell} \psi_j(K) \right) \right) + \sum_{\substack{i,j=1 \\ j < i}}^{\ell} \sum_{t=1}^{n(i,j)} \mathcal{H}^s(K_t^{i,j})$$

Putting (15) in (16) we get

$$\mathcal{H}^s(K) = \left(\sum_{i=1}^{\ell} \xi_i^s \right) \cdot \mathcal{H}^s(K) - \left(\sum_{i=1}^{\ell} \sum_{\substack{j=1 \\ j \neq i}}^{\ell} \sum_{t=1}^{n(i,j)} (\xi_t^{ij})^s \right) \mathcal{H}^s(K) + \left(\sum_{\substack{i,j=1 \\ j < i}}^{\ell} \sum_{t=1}^{n(i,j)} (\xi_t^{ij})^s \right) \mathcal{H}^s(K)$$

As $0 < \mathcal{H}^s(K) < \infty$ and $\xi_t^{ij} = \xi_t^{ji}$,

$$1 = \sum_{i=1}^{\ell} \xi_i^s - \left(\sum_{\substack{i,j=1 \\ j < i}}^{\ell} \sum_{t=1}^{n(i,j)} (\xi_t^{ij})^s \right) \blacksquare$$

§4 *Example (Sierpinski set with overlapping)* : Let Δ be an equilateral triangle of side 1 and let p_1, p_2, p_3 be its vertices (fig.1). Let $\Delta_1, \Delta_2, \Delta_3$, be three smaller equilateral triangles inside Δ and touching p_1, p_2, p_3 , respectively. We define $\psi_i(x) ; i = 1, 2, 3$, as the similitudes that transform Δ onto Δ_i (without rotation). We assume that all contracting ratios are equal to $\xi, 0 < \xi < 1$. Notice that $\mathcal{C}(K) = \Delta$ (\mathcal{C} = convex hull). We write for short: $\Delta_I = \psi_I(\Delta) = \psi_I(\mathcal{C}(K))$ and assume that ξ satisfies the following equation:

$$(17) \quad 2\xi - \xi^n = 1 ; n \geq 3 , n \text{ an integer.}$$

We will see that we can apply the previous theory to K i.e. we will prove that K has property A and therefore by proposition 2, K is an s -set . We will also show that K is ε -discrete. To prove all these assertions one needs lemmas 3 and 4 and the following discussion.

It is easy to prove that there is a unique $\xi, 0 < \xi < 1$ satisfying (17), for the polynomial $2x - x^n - 1$ has only two roots in $[1/2, 1]$, being 1 one of them.

Let $0 < \xi_3 < 1$ where ξ_3 satisfies (17) with $n = 3$. Then $\xi_3 = \frac{\sqrt{5}-1}{2}$. It is easy to prove that if $0 < \xi < 1$ and satisfies (17) then $1/2 < \xi \leq \xi_3$. Therefore ξ must also satisfy

$$(18) \quad 1 \geq \xi + \xi^{n-1}$$

with equality only if $n=3$ and

$$(19) \quad 1/2 < \xi \leq \xi_3 = \frac{\sqrt{5}-1}{2} < 2/3$$

From (19) it is seen that $\Delta_1 \cap \Delta_2 \cap \Delta_3 = \emptyset$ and $\Delta_i \cap \Delta_j \neq \emptyset$ for $i \neq j$. Notice that by (17), $\Delta_i \cap \Delta_j$ is an equilateral triangle of side ξ^n . From the construction we get

$$(20) \quad \Delta_i \cap \Delta_j = \underbrace{\Delta_{ij \dots j}}_n = \underbrace{\Delta_{ji \dots i}}_n$$

and from this it follows that

$$(21) \quad \underbrace{\psi_{ij \dots j}}_n(x) = \underbrace{\psi_{ji \dots i}}_n(x)$$

Fig. 4 a) shows K for $\xi = \xi_3$. Our aim is lemma 4 below which will be used to prove the mentioned properties of K . For the following lemma it is useful to look at figures 3, 2 a), b), c).

Lemma 3. For any index I beginning with 1 of length $q = m(n - 1) + 1, m \geq 1$, such that $\Delta_I \cap \Delta_{\underbrace{12 \dots 2}_n} \neq \emptyset$, we have a) or b) or c):

a) if $\Delta_I \subset \Delta_{\underbrace{12\dots 2}_n}$ then there exists $J = \underbrace{12\dots 2j_{n+1}\dots j_q}_n$ such that $\psi_I(x) = \psi_J(x)$.

b) if $\Delta_I \not\subset \Delta_{\underbrace{12\dots 2}_n}$ and $\Delta_I \cap \Delta_{\underbrace{12\dots 2}_n}$ is not a point then there exists $J = \underbrace{12\dots 2j_{n+1}\dots j_q}_n$ such that one side of Δ_I and one side of Δ_J are on the same line and $\Delta_I \cap \Delta_J = \Delta_I \cap \Delta_{\underbrace{12\dots 2}_n}$ is an equilateral triangle of side of length

$\xi^{(m+1)(n-1)+1}$ (Observe that there are only two possibilities, see fig.2 a, b)

c) $\Delta_I \cap \Delta_{\underbrace{12\dots 2}_n}$ is a point, fig. 2 c).

The same holds interchanging 1 with 2.

Only a) will be used but b) and c) are needed in the proof.

Proof.

The proposition is true if $m = 1$ because the only sets Δ_I with $|I| = n$, I beginning with 1 that can touch $\Delta_{\underbrace{12\dots 2}_n}$ are, by (18), $\Delta_{12\dots 22}$, $\Delta_{12\dots 21}$, $\Delta_{12\dots 23}$ and in case $n=3$ also Δ_{112} , Δ_{132} (fig. 3). The last two satisfy c). The first one satisfies a) and the others satisfy b) with $J = \underbrace{12\dots 2}_n$.

Assume that the lemma is true for m . Take an index I beginning with 1 and of length $(m+1)(n-1)+1$ with Δ_I touching $\Delta_{\underbrace{12\dots 2}_n}$. Then $\Delta_I \subset \Delta_{I'}$ where $I'I'' = I$, I' of length $m(n-1)+1$ (I' is a curtailment of I). For m the lemma was assumed, therefore if $\Delta_{I'} \subset \Delta_{\underbrace{12\dots 2}_n}$ then there exists $J' = \underbrace{12\dots 2j_{n+1}\dots j_{m(n-1)+1}}_n$ such that $\psi_{J'}(x) = \psi_{I'}(x)$ and therefore $\psi_{J'I''}(x) = \psi_{I'I''}(x) = \psi_I(x)$.

Now, assume $\Delta_{I'} \not\subset \Delta_{\underbrace{12\dots 2}_n}$. If c) is true for I' then $\Delta_I \cap \Delta_{\underbrace{12\dots 2}_n}$ is at most a point. If b) is true for I' then there exists J' with the mentioned properties. We assume that $\Delta_{I'}$ and $\Delta_{J'}$ are located as in fig. 2 a. As $\Delta_{I'} \cap \Delta_{J'}$ is an equilateral triangle of side $\xi^{(m+1)(n-1)+1}$ we get (by 17) that $\Delta_{I'} \cap \Delta_{J'} = \Delta_{I'} \cap \Delta_{\underbrace{12\dots 2}_n} =$

$$\Delta_{I'}\underbrace{2\dots 2}_{n-1} = \Delta_{J'}\underbrace{3\dots 3}_{n-1}$$

Therefore $\Delta_{I'I''} = \Delta_I$ must touch $\Delta_{I'}\underbrace{2\dots 2}_{n-1}$. But the only sets $\Delta_{I'L}$, $|I'L| = (m+1)(n-1)+1$ that could touch $\Delta_{I'}\underbrace{2\dots 2}_{n-1}$ are (by 18) $\Delta_{I'2\dots 22}$, $\Delta_{I'2\dots 23}$, $\Delta_{I'2\dots 21}$,

$\Delta_{I'2\dots 212}$, $\Delta_{I'2\dots 232}$. Therefore I must be one of the above. If I is the first then as $\Delta_{I'}\underbrace{2\dots 2}_{n-1} = \Delta_{J'}\underbrace{3\dots 3}_{n-1}$ we get $\psi_{I'2\dots 2}(x) = \psi_{J'3\dots 3}(x)$ and a) follows. If I is one of

the last two then c) is true and if (for example) $I' \underbrace{2 \dots 23}_{n-1} = I$ then it is seen that

b) is true with $J = J' \underbrace{3 \dots 3}_{n-1}$. ■

Lemma 4. (a) $\Delta_1 \cap \Delta_2 \cap K = \underbrace{\psi_{12 \dots 2}}_n(K) = \underbrace{\psi_{21 \dots 1}}_n(K)$

(b) $\Delta_1 \cap \Delta_3 \cap K = \underbrace{\psi_{13 \dots 3}}_n(K) = \underbrace{\psi_{31 \dots 1}}_n(K)$

(c) $\Delta_2 \cap \Delta_3 \cap K = \underbrace{\psi_{23 \dots 3}}_n(K) = \underbrace{\psi_{32 \dots 2}}_n(K)$

Proof.

We prove the first proposition, the others follow from symmetry. Obviously $\underbrace{\psi_{12 \dots 2}}_n(K) \subset \Delta_1 \cap \Delta_2 \cap K$. Let $p \in (\text{int}(\Delta_1 \cap \Delta_2)) \cap K$, then there exists an index I beginning with 1 (or 2) of length $m(n-1)+1$ with m great enough such that $p \in \psi_I(K)$ and $p \in \Delta_I \subset \Delta_1 \cap \Delta_2$. Recalling (20), by Lemma 3 a) we get $p \in \underbrace{\psi_{12 \dots 2}}_n(K)$ (or $p \in \underbrace{\psi_{21 \dots 1}}_n(K)$ respectively). Therefore using (21) we get

a).

If $p \in \partial(\Delta_1 \cap \Delta_2)$ then obviously $p \in \underbrace{\psi_{12 \dots 2}}_n(K)$. ■

Lemma 5. K has property A.

Proof.

Let $q_1 = \underbrace{\psi_{12 \dots 2}}_n(p_3)$ and $q_2 = \underbrace{\psi_{12 \dots 21 \dots 1}}_n(p_3)$ (see fig.3). We want to show

that the 'shape' of K near q_1 is the same as near q_2 . Notice that from (18) the only sets Δ_I , $|I| = n$ that touch q_1 are $\Delta_{12 \dots 2} = \Delta_{21 \dots 1}, \Delta_{12 \dots 23}, \Delta_{21 \dots 13}$ and eventually Δ_{132} and Δ_{231} if $n=3$. We assume for simplicity that $n > 3$ and let the reader fill the gaps if $n=3$. Therefore, if ε is small enough, from $K = \cup \{\psi_I(K) : |I| = n\}$ we get,

(22)

$$K \cap B_\varepsilon(q_1) = (\underbrace{\psi_{12 \dots 2}}_n(K) \cup \underbrace{\psi_{12 \dots 23}}_n(K) \cup \underbrace{\psi_{21 \dots 13}}_n(K)) \cap B_\varepsilon(q_1)$$

By lemma 4 c) if ε is small enough we get $\psi_2(K) \cap B_{\varepsilon \xi^{1-n}}(\psi_2(p_3)) \subset \underbrace{\psi_{32 \dots 2}}_n(K)$

and applying $\underbrace{\psi_{12 \dots 2}}_n(x)$ to this last relation we get $\underbrace{\psi_{12 \dots 22}}_n(K) \cap B_\varepsilon(q_1) \subset$

$\underbrace{\psi_{12 \dots 232 \dots 2}}_n(K) \subset \underbrace{\psi_{12 \dots 23}}_n(K)$. Applying this to (22) we get

$$(23) \quad K \cap B_\varepsilon(q_1) = (\underbrace{\psi_{12 \dots 23}}_n(K) \cup \underbrace{\psi_{21 \dots 13}}_n(K)) \cap B_\varepsilon(q_1)$$

Also q_2 only touches $\Delta_{12\dots 2} = \Delta_{21\dots 1}$ and $\Delta_{12\dots 21}$ of sets Δ_I , $|I| = n$. Therefore $K \cap B_\varepsilon(q_2) = (\underbrace{\psi_{12\dots 22}(K)}_n \cup \underbrace{\psi_{12\dots 21}(K)}_n) \cap B_\varepsilon(q_2)$. Using this last formula, (23) and the fact that $\underbrace{\psi_{12\dots 23}(x)}_n + (q_2 - q_1) = \underbrace{\psi_{12\dots 21}(x)}_n$; $\underbrace{\psi_{21\dots 13}(x)}_n + (q_2 - q_1) = \underbrace{\psi_{12\dots 22}(x)}_n$ we get that there exists $\varepsilon > 0$ such that

$$(24) \quad K \cap B_\varepsilon(q_2) = (K \cap B_\varepsilon(q_1)) + (q_2 - q_1) \text{ and } B_\varepsilon(q_2) \subset \Delta_1$$

Using this last assertion one can prove that K has property A as follows.

Let $a \ll \varepsilon$, a will be the parameter of property A. We assume that $B_a(x)$ is a ball touching $\Delta_1 \cap \Delta_2$ (if $B_a(x)$ touches only Δ_1 but neither Δ_2 nor Δ_3 then take $i_0 = 1$, $\psi = \text{identity}$). Assume $B_a(x) \subset B_\varepsilon(q_1)$ then use (24), lemma 4 a) and take $\psi(x) = x + (q_2 - q_1)$, $i_0 = 1$ in property A to get $\psi(B_a(x) \cap K) \subset \psi_1(K)$.

If $B_a(x) \not\subset B_\varepsilon(q_1)$ then use lemma 4 a) $\psi = \text{identity}$ and $i_0 = 1$ or 2, depending where x is placed. ■

Because of proposition 2, K is an s -set, s the Hausdorff dimension of K .

Next we calculate s . Apply lemma 2 to get that s must satisfy

$$(25) \quad \xi^s - \xi^{ns} = 1/3$$

Then, $z = \xi^s$ is a root of $1/3 = z - z^n$, $0 < z < 1$, and $s = \frac{\log z}{\log \xi}$. This last polynomial has two roots r_1, r_2 , in $(0,1)$: $0 < r_1 < \xi_3 < r_2 < 1$. Since K contains a segment, we have $s \geq 1$. From (17) and (25) we get for $s = 1$, $\xi = 2/3$, which is in contradiction with (19). Then $s > 1$. From $z = \xi^s$ and (19) it follows that $z = r_1$ and $s < 2$.

K is indeed ε -discrete for any $\varepsilon > 0$. We prove this fact for $n = 3$ and a similar argument works for the other cases.

Fig.4 a) shows a decomposition of K in closed sets K_i , $i = 1, \dots, 4$; $\mathcal{H}^s(K_i \cap K_j) = \emptyset$ for $i \neq j$; $K = K_1 \cup K_2 \cup K_3 \cup K_4$. From lemma 4 it follows that $K_1 = \psi_2(K)$, $K_3 = \psi_{11}(K)$, $K_2 = \psi_{33}(K)$ and therefore $\mathcal{H}^s(K_1) = \xi_3^s \mathcal{H}^s(K)$; $\mathcal{H}^s(K_2) = \mathcal{H}^s(K_3) = \xi_3^{2s} \mathcal{H}^s(K)$ and

$$(26) \quad \mathcal{H}^s(K_4) = (1 - \xi_3^s - 2\xi_3^{2s})\mathcal{H}^s(K)$$

Fig. 4 b) shows a decomposition of the set K_4 in closed sets K_5, K_6, K_7 ; $K_4 = K_5 \cup K_6 \cup K_7$; $\mathcal{H}^s(K_i \cap K_j) = 0$, $i \neq j$ with K_5, K_6 similar to K_4 and K_7 similar to K ($K_7 = \psi_{311}(K)$). Moreover, using lemma 4 and symmetry, $\mathcal{H}^s(K_5) = \mathcal{H}^s(K_6) = \xi_3^s \mathcal{H}^s(K_4) = \text{by (26)} = (\xi_3^s - \xi_3^{2s} - 2\xi_3^{3s})\mathcal{H}^s(K)$; $\mathcal{H}^s(K_7) = \xi_3^{3s} \mathcal{H}^s(K)$. From this follows that K is ε -discrete because one can apply the decomposition of figures 4 a) and 4 b) again and again to the smaller pieces which are similar to K and K_4 .

Fig. 5 shows a variant of our example where the ratios of the contractions are not

equal: $\xi_1 = \xi_2^2, \xi_2$ a root of $x + x^2 - x^5 - 1 = 0$ (this forces $\psi_{1222}(x) = \psi_{211}(x)$) and ξ_3 such that Δ_3 does not intersect Δ_1 nor Δ_2 . In the case of the figure $\xi_3 = 1/4, \xi_2 \simeq 0,682\dots, \xi_1 \simeq 0,465\dots$

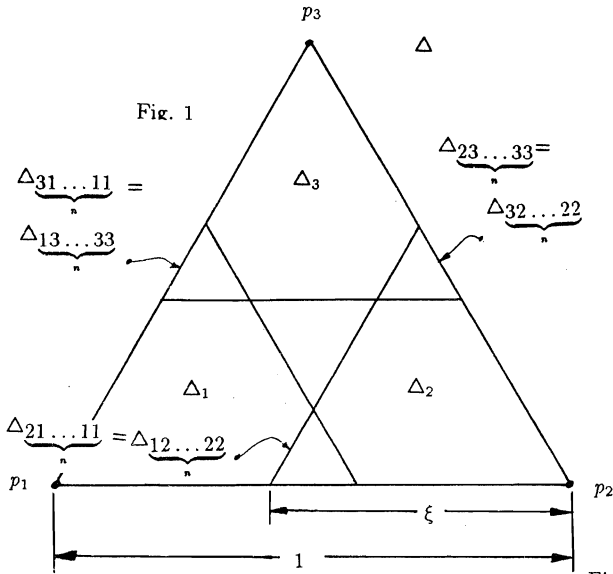


Fig. 2 a

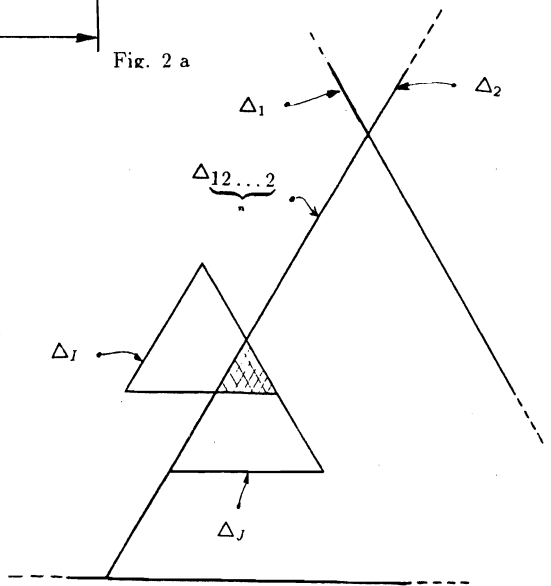


Fig. 2 c

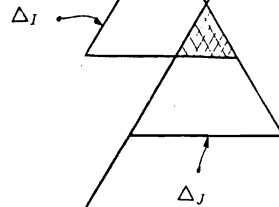
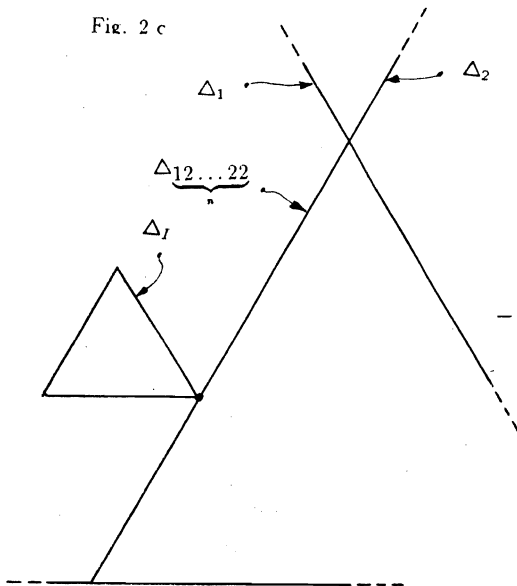


Fig. 2 b

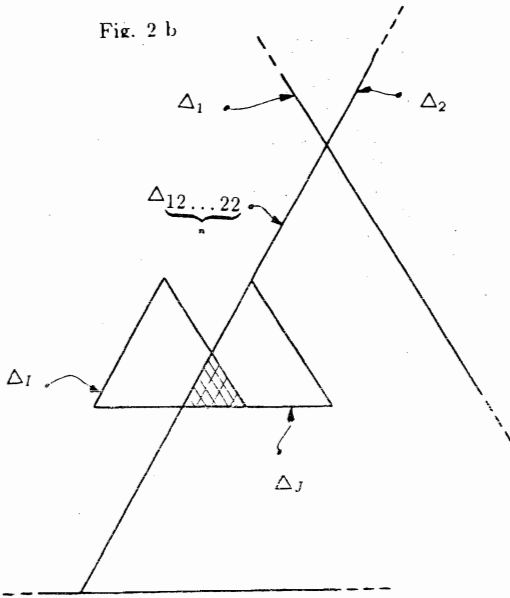


Fig. 5

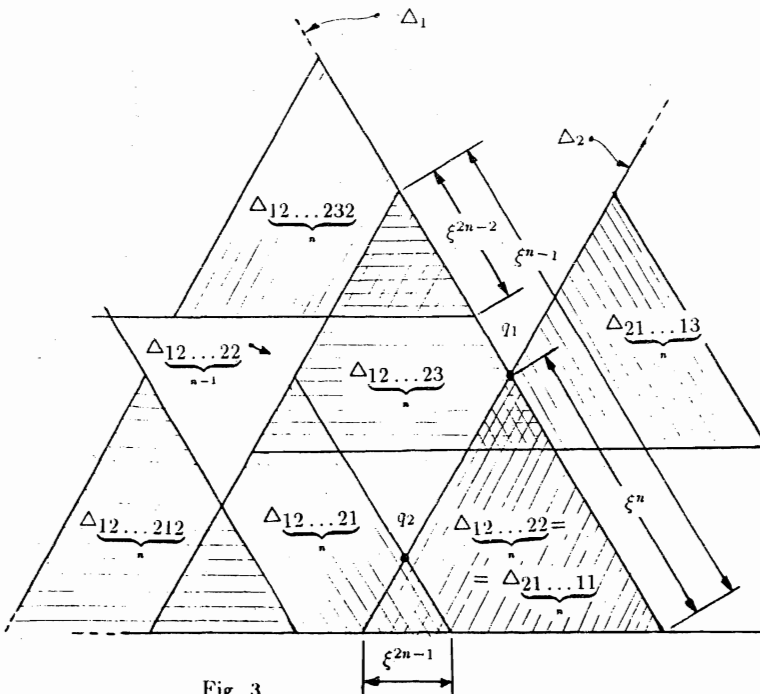
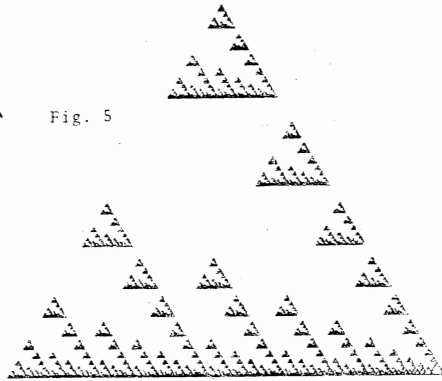
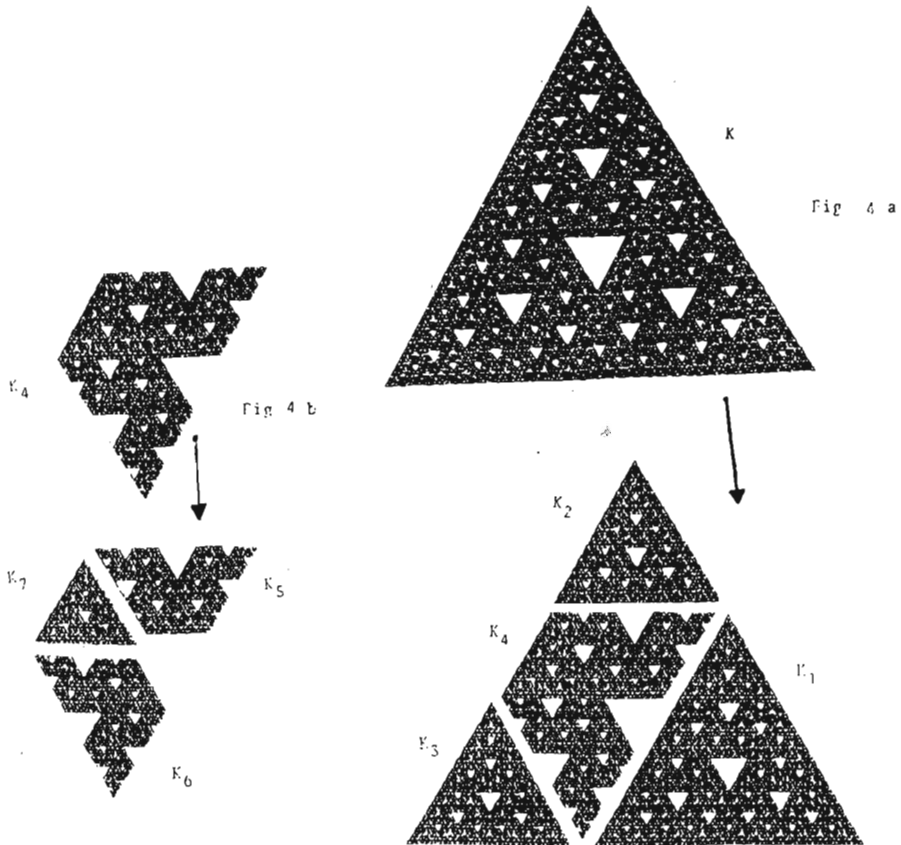


Fig. 3



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